

## CURRICULUM VITAE

### Tom Hertz

Born: August 1962, Ithaca, New York.

Family: Married to Sarah Browning, August 1993. Son Benjamin Browning born March 1998.

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### *Education*

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University of Massachusetts, Amherst: Ph.D. 2001, Department of Economics. Dissertation: "Education, Inequality and Economic Mobility in South Africa." Advisor: Samuel Bowles.

Tufts University: M.A. 1992, Department of Urban and Environmental Policy. Masters thesis: "The Second Mortgage Scams in Boston: Roots, Ramifications and Remedies."

Harvard College: A.B. 1983 *magna cum laude*, Department of Philosophy.

### *Employment*

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- Solar Energy Technician, STEVEN Foundation, Ithaca, New York, 1986.
- Analyst, AIDS Program and Ambulatory Care Center, Boston City Hospital, 1987-89.
- Project Manager, Mass. Cost of AIDS Study, Boston Dept. of Health and Hospitals, 1989-92.
- Programmer, Statistical Consulting Center, U. of Massachusetts at Amherst, 1993-94.
- Assistant to the Co-Chairmen, Presidential Labour Market Commission, South Africa, 1995-96.
- Professional in Residence, U.S. Information Agency, Cape Town, South Africa, 1995-96.
- Teaching Assistant and Lecturer, Department of Economics, University of Massachusetts, Amherst, 1993-1999.
- Research Assistant, Multi-City Study of Urban Inequality, Russell Sage Foundation, 1997-2000.
- Post-Doctoral Research Associate, Center for Health and Wellbeing, Woodrow Wilson School of Public and International Affairs, Princeton University, Sept. 2001 to Aug. 2002.
- Assistant Professor, Department of Economics, American University, Sept. 2002 to present.

### *Awards*

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- Institute for the Study of World Politics, Dissertation Fellowship, 1995-96.
- Letter of thanks from Nelson Mandela, for service on the Labour Market Commission, 1996.
- Departmental Commendation for Excellence in Teaching, University of Massachusetts, Amherst, February and November 2000.
- MacArthur Foundation's Research Network on the Effects of Inequality on Economic Performance, Graduate Fellowship, 2000-2001.
- Recipient of 2007 Arrow Prize for the best paper by a junior economist among those appearing in any of the 18 economics-related journals published by the Berkeley Electronic Press.

## ***Peer-Reviewed Book Chapters***

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- Tom Hertz, Chris Tilly and Michael Massagli, "Linking the Multi-City Study's Household and Employer Surveys to Test for Race and Gender Effects in Hiring and Wage-Setting." In *Urban Inequality: Evidence from Four Cities*, Alice O'Connor, Chris Tilly and Lawrence D. Bobo (eds.). New York: Russell Sage, 2001. Pages 407-443.
- "Rags, Riches and Race: The Intergenerational Economic Mobility of Black and White Families in the United States." In *Unequal Chances: Family Background and Economic Success*, Samuel Bowles, Herbert Gintis, and Melissa Osborne (eds.). New York: Russell Sage and Princeton University Press, 2005. Pages 165-191.

## ***Peer-Reviewed Journal Articles***

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- Stone VE, Seage GR, Hertz T, Epstein AM, "The Relation Between Hospital Experience and Mortality for Patients with AIDS." *Journal of the American Medical Association*, 268(19) (November 1992), pp. 2655-2661.
- Seage GR, Hertz T, Stone VE, Epstein AM, "The Effects of Intravenous Drug Use and Gender on the Cost of Hospitalization for Patients with AIDS." *Journal of Acquired Immune Deficiency Syndromes*, 6(7) (July 1993), pp. 831-839.
- Payne SMC, Seage GR, Oddleifson S, Gallagher K, vanBeuzekom M, Losina H, Hertz T, "Using Administratively Collected Hospital Discharge Data for AIDS Surveillance." *Annals of Epidemiology*, 5(5) (September 1995), pp. 337-346.
- "Upward Bias in the Estimated Returns to Education: Evidence from South Africa." *American Economic Review*, 93(4) (September 2003), pp. 1354-1368.
- "Trends in the Intergenerational Elasticity of Family Income in the United States." *Industrial Relations*, 46(1) (January 2007), pp. 22-50.
- Tom Hertz, Tamara Jayasundera, Patrizio Piraino, Sibel Selcuk, Nicole Smith, and Alina Verashchagina (2007) "The Inheritance of Educational Inequality: International Comparisons and Fifty-Year Trends," *The B.E. Journal of Economic Analysis & Policy*: Vol. 7: Iss. 2 (Advances), Article 10.
- Larry Sawers, Eileen Stillwaggon, Tom Hertz: "Cofactor Infections and HIV Epidemics in Developing Countries: Implications for Treatment." *AIDS Care*, 20(4) (April 2008), pp. 488-494.
- "Heteroskedasticity-Robust Elasticities in Logarithmic and Two-Part Models." (Published on-line, March 2008, in *Applied Economics Letters*; forthcoming in print.)
- "A Group-Specific Measure of Intergenerational Persistence." (Published on-line, August 2008, in *Economics Letters*; forthcoming in print.)
- Tom Hertz, Mieke Meurs and Sibel Selcuk: "The Decline in Intergenerational Mobility in Post-Socialist Bulgaria." (In press at *World Development*).
- Jessica E. Todd, Paul Winters and Tom Hertz: "Conditional Cash Transfers and Agricultural Production: Lessons from the *Oportunidades* Experience in Mexico." (In press at *Journal of Development Studies*.)

### ***Articles in Favorable Revise and Resubmit Status***

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- “The Effect of Non-Farm Income on Investment in Bulgarian Family Farming.” (“Conditionally accepted for publication” at *Agricultural Economics* ; minor revisions due September 19<sup>th</sup>.)
- “Changes in the Volatility of Household Income in the United States: A Regional Analysis.” (*Brookings Metropolitan Policy Program: Policy Briefs*.)
- Mieke Meurs, Juna Miluka, and Tom Hertz: “Declining Secondary Enrollment in Albania: What Drives Household Decisions?” (Under second review at *Carl Beck Papers in Russian & East European Studies*, July 2008).

### ***Articles Under First Review***

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- Paul Winters, Ana Paula de la O, Esteban J. Quiñones, Tom Hertz, Benjamin Davis, Alberto Zezza, Katia Covarrubias, and Kostas Stamoulis: “Rural Wage Employment in Developing Countries.” Submitted August 2008 to *Oxford Development Studies*.

### ***Research in Progress***

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- Jan. 2008 to present: member of Core Team of Rockefeller Project on Economic Security, with Jacob Hacker and Gregory Huber (Yale, Political Science), Mark Schlesinger (Yale, School of Public Health), and Rob Valletta (San Francisco Federal Reserve Board).
- Jan. 2007 to present: statistician for the Santa Fe Institute's multidisciplinary research project on “The Intergenerational Transmission of Wealth and the Dynamics of Inequality in pre-Modern Societies” directed by Samuel Bowles and Monique Borgerhoff Mulder.
- with Tamara Jayasundera: “Explaining the Rise in Educational Attainment and Intergenerational Mobility For Indonesian Women: School Construction or Fee Reduction?”
- “The Effect of Minimum Wages on the Earnings of South Africa’s Domestic Service Workers.”
- with Paul Winters: “Rural Non-Farm Labor Markets: A Survey.”
- with Elissa Braunstein: “From Home to Market: Accounting for Women’s Work in Taiwan.”

### ***Other Publications and Working Papers***

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- “1.5 Million Jobs in 5 Years? Comments on the World Bank’s November 1993 Land Reform Proposal.” 1994. Unpublished paper, hotly debated in South Africa.
- Restructuring the South African Labour Market: Report of the Presidential Commission to Investigate the Development of a Comprehensive Labour Market Policy. Pretoria: Government Printer. 1996. (Note: Contributed four chapters. Authorship remains with the Commission.)
- “Is What’s Good for Wall Street Good for Main Street? Common Sense About Growth and Unemployment,” in *Common Sense Economics: A Study Group Manual*, Center for Popular Economics and AFL-CIO Labor Relations Research Center, Washington: 1997.
- “The Relation Between Poverty, Inequality and Unemployment in South Africa,” South African Network for Economic Research, Working Paper No. 9, February 1998.
- with O. Steven Quimby, “Whom Do They Hire? An Analysis of Boston Employer Practices and Their Implications for Employment and Training Programs,” Center for Community Economic Development, University of Massachusetts, Boston, 1999.
- “Minimum Wages and Poverty: What Does the Future Hold?” *Labour Market Review*, 1(1), May 2003. Department of Labour, South Africa.
- “The Effect of Minimum Wages on the Employment and Earnings of South Africa’s Domestic Service Workers.” Development Policy Research Unit, Working Paper 05/099, October 2005.

- “Understanding Mobility in America.” Center for American Progress, April 26, 2006.

## *Papers Presented at Conferences and External Seminars*

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- “A Poverty Trap Based on Convex Returns to Education.” Presented at the annual meetings of the Allied Social Sciences Association, January 2000. [Refereed]
- “Can wage cuts reduce poverty? Simulation evidence from South Africa.” Presented at Development Policy Research Unit Annual Conference, Nov. 2001, Johannesburg. [Refereed]
- “Intergenerational Mobility: Three Open Questions.” Seminar at Center For Health and Wellbeing, Woodrow Wilson School of Public and International Affairs, Princeton, Feb. 2002.
- “The Intergenerational Economic Mobility of Black and White Families in the United States.” At 7th Annual Meeting of the Society of Labor Economists, Baltimore, May 2002. [Refereed]
- “Rags, Riches and Race: The Intergenerational Economic Mobility of Black and White Families in the United States.” Presented to Bureau of Labor Statistics, Washington, November, 2002.
- “Forecasting the Effects of Pending Minimum Wage Legislation on Poverty in South Africa.” Presented at the Political Economy Research Institute’s Global Labor Standards and Living Wages Working Group Meeting, U. Mass. Amherst, April 2002 [Refereed]; at the DPRU/FES conference on Labour Markets and Poverty, Johannesburg, South Africa, November 2002 [Refereed]; and finally at the Fourth Analytical Political Economy Conference, Trinity College, May 2003. [Refereed]
- “Have Minimum Wages Benefited South Africa’s Domestic Workers?” Presented at DPRU Forum, October 2004, Cape Town; and at URPE/ASSA Annual meeting, Jan. 7-9, 2005. [Refereed]
- “Regressing to a Different Mean: Trends in the Black/White Mobility Gap.” Presented at Georgetown/ Harvard Conference on Social and Economic Inequality: The Role of Race in Law, Markets, and Social Structure. Co-sponsored by Georgetown University Law Center and The Charles Hamilton Houston Institute for Race and Justice at Harvard Law School, Friday, March 24, 2006. [Refereed]
- “Moving on Up? Economic Mobility in America.” Lecture to Center for American Progress, April 26, 2006. Discussants included Bhashkar Mazumder (Federal Reserve Bank of Chicago), Isabelle Sawhill (Brookings), and moderator Sebastian Mallaby (Washington Post.) [Invited]
- “The Effect of Minimum Wages on the Employment and Earnings of South Africa’s Domestic Service Workers.” Presented at Society of Labor Economists Annual Meeting, Boston, May 5-6, 2006. [Refereed]
- “Understanding Mobility in America.” Invited lecture to Capitol Hill Policy Forum, May 12, 2006. Institute for Educational Leadership and the American Educational Research Association.
- “Determinants of Investment in Bulgarian Family Farming.” Presented at UN/FAO Workshop on Migration, Transfers, and Household Economic Decision Making, Rome, Jan. 11-12, 2007. [Refereed]
- “Cofactor Infections and HIV Epidemics in Developing Countries: Implications for Treatment.” Presented by my coauthors, Larry Sawers and Eileen Stillwaggon, at AIDS Impact Conference, Marseilles, France July 1-4, 2007. [Refereed]
- “Mobility Among the Hyper-Rich: Results from the Forbes 400.” Presented June 25, 2008, The Century Foundation and New York Times Journalism Institute Conference on *Billionaires and Their Impact*, June 25, 2008. [Invited]

### ***Papers Presented at American University Economics Department Seminars***

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- “Forecasting the Effects of Minimum Wage Legislation on Poverty in South Africa” Sept. 2002.
- “Have Minimum Wages Benefited South Africa’s Domestic Service Workers?” Oct. 2004.
- “Trends in Intergenerational Mobility.” Sept. 2005.

### ***Small-Group Discussions Among Specialists***

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- Santa Fe Institute: Workshop on Intergenerational Mobility, October 2001.
- Russell Sage Foundation: Workshop on the Transmission of Inequality, May 8, 2006.
- Pew Charitable Trusts: Economic Mobility Project Scholar’s Forum, April 16, 2007.
- Brookings/Pew Charitable Trusts: Income Volatility Seminar, June 11, 2007.
- Santa Fe Institute: Intergenerational Mobility in pre-Modern Societies, March 5-9, 2008.

### ***Externally Sponsored Research***

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- January 2004: Awarded \$12,000 by Santa Fe Institute for research on intergenerational mobility in developing countries.
- May 2004: Awarded \$5,000 by Upjohn Institute for Employment Research for work on minimum wages in South Africa.
- November 2005: Awarded \$10,700 as part of larger grant from United Nations Food and Agriculture Organization for research on transfers and rural development (Principal investigator: Paul Winters.)
- January 2007: Awarded \$14,000 by the Brookings Institution for research on economic volatility.
- September 2007: Awarded \$10,700 as part of larger grant from United Nations Food and Agriculture Organization for research on rural non-farm labor markets development (Principal investigator: Paul Winters.)
- January 2008: Awarded \$50,000 by Yale University and the Rockefeller Foundation to participate in the Rockefeller Project on Economic Security.

### ***Internal Grants Received***

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- Mellon Faculty Development Fund, November 2002, November 2003.
- Faculty Creative Support Grant, February 2003, December 2003.
- Faculty Software Award, March 2003, March 2004.
- Curriculum Development Grant, AY 2006-07

### ***Service as Referee***

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Feminist Economics, 1996, 2005; Industrial Relations, 2004; Sociological Methods and Research, 2004, 2005; Journal of Human Resources, 2006; World Development, 2006, 2008; The Berkeley Electronic Journal of Economic Analysis & Policy, 2006; The Review of Social Economy, 2006; Journal of Development Economics, 2007, 2008; American Economic Review, 2007, 2008.

## ***Service as Discussant or Moderator***

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- URPE / ASSA Annual Meetings, 2002. Discussed papers by Peter Mathews (Middlebury College), Robin Hahnel (American University) and Gil Skillman (Wesleyan University).
- International Center for Research on Women, Author's Meeting, January 2004. Discussed papers on relationship between trade liberalization and women's reproductive health and rights.
- Eastern Economic Association Annual Meetings, February 20-22, 2004, Washington. Discussed paper by Reagan Baughman (University of New Hampshire).
- ASSA Annual Meetings, January 2005. Moderated session titled "Empirical Studies in International Economic Policy" and served as discussant on paper by Robert Blecker (American University).
- DPRU Annual Conference, October 13-15, 2004, Cape Town, South Africa. Discussant for paper by James Thurlow & Peter Wobst (International Food Policy Research Institute)
- Southern Economic Association, November 18-20, 2005. Discussant for paper "Schooling Costs and Child Labour in India" by Gautam Hazarika (University of Texas – Brownsville). Moderator for session on "Impact of Policy Changes and Programs on Educational Attainment in Developing Countries" at which three of my students presented work in progress.
- DPRU / TIPS Forum, November 30th to December 1st 2005, Johannesburg. Discussant for paper by Johannes Fedderke (University of Cape Town).
- UN/FAO Workshop on Migration, Transfers, and Household Economic Decision Making, Rome, Jan. 11-12, 2007. Discussant for paper by John Maluccio (Middlebury College).
- 29<sup>th</sup> Annual APPAM Research Conference. Discussant for papers on intergenerational mobility by Molly Dahl (Congressional Budget Office) and Thomas DeLeire (University of Wisconsin); and Melissa Favreault and Austin Nichols (The Urban Institute), November 8-10, 2007.

## ***Consulting***

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- Analyzed intergenerational mobility for Center for American Progress, 2006.
- Advisor to Sutton Charitable Trust on developing research agenda on social mobility, 2006.
- Advisor to Pew Charitable Trust's Economic Mobility Project; 2006-2007.

## ***Selected Online Citations***

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- *Voice of America News*, May 23, 2005 (broadcast) by Jeffrey Young.
- *The American Prospect Online*, May 4, 2004. "Schools of Hard Knocks" by Richard D. Kahlenberg.
- *The Century Foundation Press*, March 5, 2003. "Class Warfare Fact & Fiction" by Bernard Wasow.
- Cited on weblogs of economists Brad DeLong (Berkeley) and Gregory Mankiw (Harvard).
- Research on *Forbes 400* published on Bloomberg, July 2, 2008.
- Summary of educational mobility research published by *VoxEU*, a project of the Centre for Economic Policy Research, July 26, 2008. See [www.voxeu.org/index.php?q=node/1459](http://www.voxeu.org/index.php?q=node/1459).

## ***Selected Citations in Print Media***

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- *The New York Times*, November 14, 2002. “The Apple Falls Close to the Tree” by Alan Krueger. This article has been reprinted in dozens of papers worldwide.
- *The New York Review of Books*, June 24, 2004. “Patriot Games” and May 25, 2006 “The Rich and the Rest,” both by Andrew Hacker.
- *The Economist*, January 1, 2005. “Ever Higher Society, Ever Harder to Ascend” by Adrian Wooldridge.
- *Scripps Howard News Service*, “The American Dream is Out of Reach for Many Today” by Mary Deibel and Lance Gay. Picked up by NewsObserver.Com, March 16, 2005, and others.
- *Wall Street Journal*, May 13, 2005, p. A1. “Moving Up: Challenges to the American Dream” by David Wessel.
- *Wall Street Journal*, June 6, 2005, p. A1. “Promotion Track Fades for Those Starting at Bottom” by Joel Millman.
- *Nikkei Keizai Shimbun*. [Leading Japanese business daily.] Interviewed by Toru Yoshida, Chief Correspondent, Washington Bureau, for story appearing June 8, 2005.
- *The New Yorker*, April 3, 2006. “Relatively Deprived” by John Cassidy.
- *Reuters*, April 26, 2006. “America's Rags-to-Riches Dream an Illusion: Study” by Alister Bull.
- *The Guardian (U.K.)*, June 27, 2006. “We are making our children ill with unrealisable expectations” by George Monbiot.
- *The Atlanta Journal Constitution*, April 1, 2007. “Movin’ On Up?” by Michael Kanell.
- *The DC Examiner*, July 16, 2008. “Kudos!” feature congratulating recent achievements.

## ***Radio and Television, and Interviews with Journalists on Background***

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- Nationally syndicated AM talk show “The Dolans,” November 14, 2002. On mobility.
- *Business Week*, background for articles by Aaron Bernstein and Michelle Conlin: “Waking up from the American Dream,” December 1, 2003, and “Working and Poor” May 31 2004
- Air America, “Ring of Fire” talk show, February 12, 2005. On mobility in America.
- WTVN, Columbus, Ohio, “Bob Connors Show,” April 4, 2005 and May 3, 2007. On mobility.
- *Money Magazine*. Interviewed by David Futrelle, Mar.-Apr. 2005; George Mannes, Sept. 2005.
- *Black Enterprise* magazine: Interviewed for article on race and mobility, March 1, 2006.
- CNBC-TV: Interviewed about economic mobility on talk show “Squawk Box”, April 28, 2006.
- *WBAI*, New York: Interviewed about mobility, May 4, 2006.
- *Air America*, Interviewed about mobility, May 12, 2006.
- *KPFK*, California: Interviewed about mobility, May 25, 2006.
- *Al Franken Show*: Interviewed (with Derek Douglas) about mobility, June 22, 2006.
- *Australian Broadcasting Company*: Interviewed on background, on mobility, February 6, 2008.
- *Voice of America* International television: Interviewed February 8, 2008, on U.S. macro economy.
- *NBC Nightly News*: Interviewed February 25<sup>th</sup>, 2008, on U.S. macro economy.



## ***Teaching***

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### ***Courses Taught at American University:***

- Econ-100: Intro. Macroeconomics: 2002F, 2003F, 2005S/F, 2006S/F, 2007F, 2008S.
- Econ-522/523: Econometrics (MA-level): 2002F, 2003S, 2003F, 2005F, 2006F, 2007S.
- Econ 310: Introductory Econometrics: 2003S.
- Econ 788/789: PhD Labor and Development Economics Seminar: 2004S, 2006S.
- Econ 790/781: PhD Labor and Political Economy Seminar: 2005S.
- Econ 783: PhD Empirical Microeconomics: 2007S.

### ***Supervision of Graduate Student Research***

- Dissertations defended – chair: 3
  - R. Ranasinghe: now at Connecticut College.
  - P. Jacobs: now at Congressional Budget Office.
  - T. Jayasundera: now at IFPRI.
- Dissertations defended – member or outside reader: 9
  - J. Messier: now at University of Maine, Farmington.
  - J. Miranda: now at U.S. Bureau of Census.
  - A. Nguanbanchong: now at UNIFEM, Thailand.
  - M. Oseni: now at World Bank.
  - A. Pichetpongsa: now at Siam City Bank, Thailand.
  - J. Todd: now at Economic Research Service, USDA.
  - D. Casale: now at University of KwaZulu-Natal, South Africa.
  - T. Kilic: now at World Bank.
  - J. Northrop: now at Bureau of Labor Statistics.
  - N. Smith: now at Global Institute on Education and the Workforce.
- Diss. in progress: A. Charles, J. Miluka, M. Kuzara, S. Selcuk.
- SIS Substantial Research Projects supervised: C. Varkey.

### ***Independent Studies/Internships Supervised***

- ECON-691-I05: Statistical Internship (Summer 2006, D. Reilly)
- ECON-690-001: The Econometrics of Health (Fall 2006, P. Jacobs)
- ECON-691-006: Research in Education: Indonesia (Spring 2006, T. Jayasundera)

### ***Courses Taught as an Instructor at the University of Massachusetts at Amherst***

- Math Review for Incoming Graduate Students in Economics, August 2000.
- Development Economics, Spring 2000.
- Introduction to Mathematical Models in Economics, Fall 1998, Spring & Fall 1999.
- Intermediate Macroeconomics, Fall 1996, Spring 1997.

### ***Other Teaching***

- Teaching Assistant, Dept. of Economics, University of Massachusetts, Amherst. Led discussion sections in introductory micro and macroeconomics, and money and banking. 1992-1995.
- Staff Economist, Center for Popular Economics, 1995 to 1999. Co-taught C.P.E.'s week-long Summer Institute, a popular economics education curriculum (1998).

### ***Professional Development***

- Attended 2003 and 2006 Ann Ferren Teaching Conferences.
- Completed summer program in Information and Entropy Econometrics, May 2005

## ***Curriculum Development***

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- Collaborated with Professor Mary Hansen on the development of a two-course graduate sequence in labor economics, and associated comprehensive examination.
- Developed new Econometrics (MA) sequence, Economics 523/524, with Profs. Heracleous, Winters, and Golan.

## ***Departmental and University Service***

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- Advisor to 12-18 MA students per year. (Ongoing)
- Organizer of the Departmental Seminar Series (Fall 2002 to Fall 2005).
- Organized invitation of Professor Paul Krugman to speak, October 2005.
- Organized the purchase of 20 copies Stata software for SPA computer lab (2003).
- Worked with MA program director to document and clarify degree requirements (2003)
- Served as moderator and commentator for Ann Robyn Mathias Student Research Conference (April 2003).
- Writing and grading Labor Economics and Development Economics Comprehensive Exams (2004 to present).
- Served on committee to review annual merit-based salary adjustments (May 2004).
- Attended Ann Robyn Mathias Student Research Conference (April 2005) in which two of my advisees presented work in progress.
- Serving on Long Range Strategic Planning Committee (September 2005 to present).
- Member of personnel committee (AY 2006-2007).
- Participated in planning of 2007 Ann Ferren Teaching Conference.
- Served on *ad hoc* Departmental Grievance Committee (March 2007).
- Served on Academic Integrity Code Review Panel (Spring 2007). Two cases adjudicated.
- Judged presentations for Ann Robyn Mathias Student Research Conference (March 2007) and participated in planning meetings to improve the judging process in the future (Sept. 2007).
- Judged student presentations for the 2007 Federal Reserve Challenge (November 2007).
- Worked with Dr. Kathleen Getz, Senior Associate Dean for Academic Affairs at the Kogod School of Business, to redesign the curriculum for our MA business track, in order to reflect changes in Kogod's course offerings.

## ***Memberships and Professional Activities***

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- Member of American Economic Association.
- Member of Union of Radical Political Economists.
- Member of Society of Labor Economists.
- Member of International Association of Feminist Economists.
- Reviewed and ranked applications for 2003 Jacob Javits Fellowship in economics.