

AMERICAN  UNIVERSITY
WASHINGTON
COLLEGE OF LAW

SJD PROGRAM

SJD Candidate Handbook

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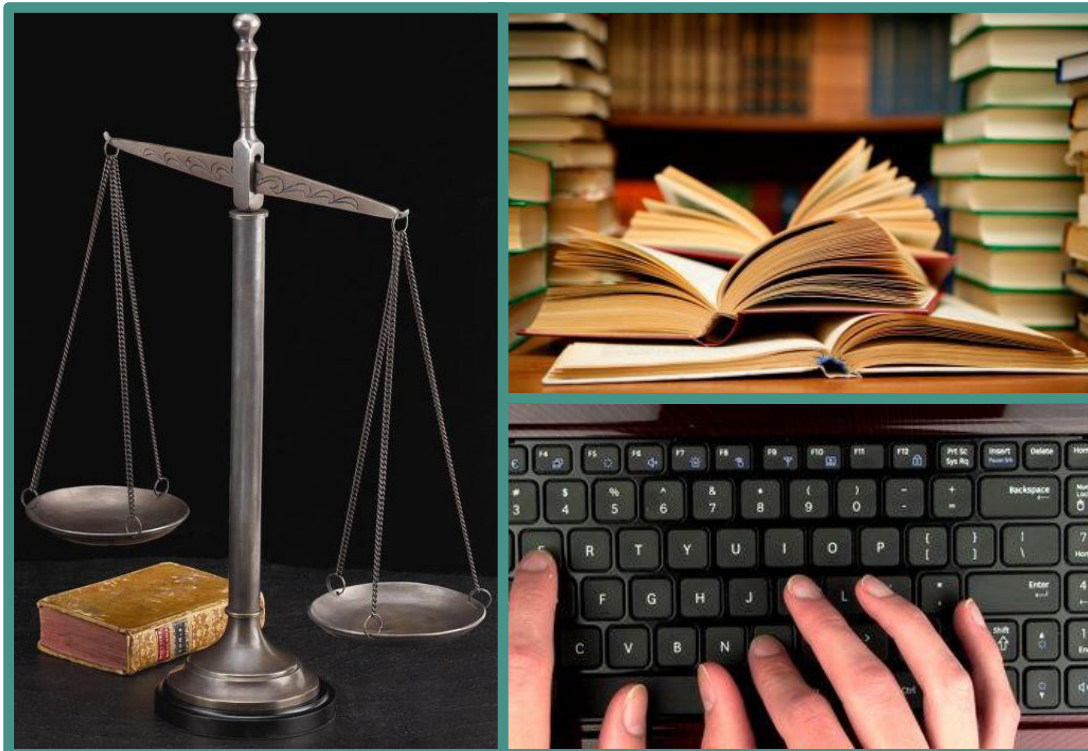


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Chapter 1: Overview of the SJD Program

The SJD Program is a vibrant center of intellectual life at American University Washington College of Law (“WCL”). With approximately 15–20 active SJD Candidates from around the world, the SJD Program is designed for aspiring legal academics and other top legal professionals to pursue sustained independent research and writing in a given field of legal specialization. The SJD Program culminates in a dissertation of publishable quality that represents a valuable original contribution to the scholarship in that Candidate’s field and a Candidate’s public presentation and defense of their dissertation before an SJD examining Committee and members of the legal community. Upon successful completion of the SJD Program requirements, we are pleased to celebrate our new Doctors of Juridical Science, the most advanced law degree offered by WCL.

The SJD Program covers a breadth of legal topics ranging from international human rights law to international finance and intellectual property law. The Program takes pride in its diverse community of Candidates, faculty, and legal topics. SJD Candidates represent a distinct intellectual community and go on to pursue an array of scholarly, judicial, and political careers in the U.S. and abroad.

Program Stages

The SJD Program is a three to five-year research and writing focused program. Degrees are typically granted after four years, though some Candidates choose to complete the Program in three years while others take five. The first year of the Program must be completed in residence at WCL.

First Year

Residency, Research, and Planning:

During the first year, Candidates participate in the innovative Doctoral Colloquium, audit law school courses necessary for their dissertation research, and conduct advanced legal research on their dissertation topic. By the end of the first year, Candidates have a completed draft outline of their dissertation.

Second and Third Years

Writing and Editing:

During the second and third years, Candidates draft their dissertations and receive feedback from their Faculty Advisors and Committee members.

Final Years

Editing and Oral Defense:

During the final years, Candidates edit and finalize their dissertations and participate in the rigorous oral defense of their dissertations.

Although the Program expects all upper-level Candidates to devote a significant amount of their time to their dissertations and accompanying requirements, the Program recognizes that Candidates who return to their home countries often must meet financial, work, and family obligations that may preclude them from working full-time on their dissertations. In such circumstances, Candidates are expected to spend a reasonable amount of time devoted to their obligations, recognizing that the Program must nevertheless be completed in no more than five years. By contrast, Candidates who remain in the United States on a student visa must be engaged in the full-time study of law and must make substantial progress on their dissertations consistent with full-time work expectations pursuant to federal law and regulations.

Candidates will not be permitted to remain on an American University-sponsored visa unless they are engaged in the full-time study of law.

Chapter 2: Academics

Registration

SJD Candidates are automatically registered for the SJD Program in advance of the first day of the semester. SJD Candidates who intend to stay at WCL over the summer and want to use University facilities (including the Jacobs or Cassell Fitness Center) need to be registered for classes earlier to enjoy uninterrupted access. In such circumstances, the Candidate should email Elizabeth Cavanagh (cavanagh@wcl.american.edu) by **May 1st** to request early registration.

SJD Candidates may audit up to two classes during each of their first two semesters in the Program (i.e., fall and spring semesters). Course audits are not available for summer courses. Candidates who wish to audit classes during the summer semester will be charged the per-credit rate currently in effect. There are no exceptions to this rule.

SJD Candidates must meet with their Advisors to discuss which, if any, courses the Advisors recommend the Candidates should audit. Candidates should email the list of courses they would like to audit to Elizabeth Cavanagh (cavanagh@wcl.american.edu) as soon as possible so that they can secure a seat in the courses.

Upper-level SJD Candidates may request permission to audit one course after their first year, but only upon exigent circumstances. The prime goal of SJD Candidates must be to write their dissertation, and auditing classes beyond the first year has impeded the progress of past candidates. Accordingly, course audits are typically reserved only for first-year Candidates. Upper-level SJD Candidates who audit classes will be charged the per-credit tuition rate currently in effect.

Doctoral Colloquium

The Doctoral Colloquium, taught by Professor Elizabeth Cavanagh, is the only required class in the SJD Program and is required in both semesters of the first year of the Program. The class provides SJD Candidates the foundation necessary to succeed in the SJD Program. Topics include dissertation formulation, planning and drafting, U.S. and international legal research, and academic presentations. The course syllabus details the dates and times of the course.

Semester Progress Plans

Each semester, SJD Candidates must submit a Semester Progress Plan (“SPP”) to their Faculty Advisor for approval and feedback. The Faculty Advisor must approve the plan no later than **September 15th** in the fall

semester and **January 31st** in the spring semester. If the due date falls on a weekend or holiday, the plan must be approved no later than the following business day.

The Semester Progress Plan is organized through Google Forms. Candidates will receive an email to their AU email address and Google Form link at the beginning of each semester from WCL.SJD.SPP@gmail.com with instructions on how to complete their SPP. They will receive a copy of their responses to their AU address, which they should share with their Faculty Advisor to ensure the corresponding form for Faculty Advisors is completed.

Proceeding to Upper-Level Status and Certification of Completion of Residency Year Requirements

To proceed to upper-level status in the SJD Program, Candidates must achieve a passing grade in the Doctoral Colloquium in each of the fall and spring semesters and must meet all of the residency requirements set out in this Handbook. SJD Candidates must ensure that their Faculty Advisor completes the Certification of Completion of Residency Requirements form no later than **May 31st** of the residency year. The form is available at <http://bit.ly/ResidencyCompletionForm>.

Tuition Structure

During the first year of the Program, Candidates are charged full-time tuition and fees. Second-year Candidates are charged the equivalent of 2 academic credits per semester but are registered for 8 credits. In subsequent years, Candidates are charged the equivalent of 1 academic credit and fees per semester and are registered for 8 credits. Both the first-year and upper-level registration status meet the registration requirements for F-1 visa holders. Upper-level Candidates may continue their work at WCL or in their home countries. There is no distinction in tuition structure between residency and out-of-residency status.

The Board of Trustees sets tuition and fees on an annual basis. Increases of five to seven percent have occurred in recent academic years, and there is no guarantee that tuition will not increase in subsequent academic years.

Candidates who are in the United States on visas must confer with AU International Student & Scholar Services (“ISSS”) before leaving the country to ensure that all visa obligations are met. Please visit www.american.edu/student-affairs/iss for more information.

Leaves of Absence

Once enrolled, Candidates are expected to continue the Program in consecutive semesters until they graduate. If a leave of absence becomes necessary due to extenuating circumstances, students must request a leave of absence in advance of the semester in which they seek leave. To seek a leave of absence, a Candidate must email Professor Cavanagh with an explanation of why a leave of absence is sought. Leaves of absence are granted only on a per-semester basis. Students seeking to extend a leave of absence must seek an extension before the beginning of the second semester in which the leave is sought by emailing Professor Cavanagh. Absent compelling circumstances, leaves of absence typically will not be granted for more than two semesters.

Candidates who are in the United States on visas must confer with ISSS before requesting a leave of absence, and, if the leave is granted, must coordinate with ISSS to ensure that all visa obligations are met.

Work Space at Pence Law Library

SJD Candidates who expect to spend most of their time researching and writing at the Pence Law Library are entitled to exclusive use of a study carrel in the Library. All first-year Candidates are automatically assigned a carrel and locker upon matriculation. Upper-level Candidates must affirmatively request a study carrel by contacting Professor Cavanagh by the first day of classes of the fall semester.

Candidates who intend to leave the United States or otherwise not use their study carrel must vacate it no later than two weeks before fall classes begin in any given academic year.

All Candidates in residence are also assigned a locker to store their personal items and study materials.

Introduction to Faculty

The new SJD Candidates are introduced to the WCL faculty during a faculty meeting in the fall semester. Candidates should note their full name, home country, dissertation title, Faculty Advisor, and a one- or two-sentence description of their research objectives.

Chapter 3: Responsibilities of Faculty Advisors and Committee Members

Responsibilities of Faculty Advisors

The main responsibilities of Faculty Advisors as the Candidate progresses through the SJD Program are listed below. Depending on the nature of the working relationship between the Faculty Advisor and the Candidate, the responsibilities may increase or decrease in any given semester.

General

- Read the SJD Candidate Handbook and, in particular, Chapter 4: Program Guide, Chapter 5: The Dissertation Writing Process, which details the dissertation requirements, and Chapter 8: The Oral Defense Process.
- Confer with Candidate in person or over the telephone or Zoom at least once every six weeks during the first year and at least twice per semester thereafter.
- Respond in a timely manner to communications from the Candidate. Candidates should expect to receive a response from an email or phone call within two weeks. If a Candidate has not received a response within that time, he or she should contact the SJD Program.
- Beginning in the Candidate's residency year, work closely with the Candidate to develop a plan that will result in Program completion (including oral defense and approved dissertation) no later than the end of the fifth year of study, with an expectation that the Program will be completed in three to five years. Notify the SJD Program immediately if it appears that the Candidate will not be able to complete the Program in five years.
- Counsel Candidate in completion of Semester Progress Plan ("SPP"), approving or returning SPP for revision as appropriate. SJD Candidates and Advisors must electronically submit an SPP **by September 15th in the fall semester and January 31st in the spring semester** to remain enrolled in the SJD Program. Failure to complete and submit this form will result in the disenrollment of the Candidate from the SJD Program. Faculty Advisors will receive an email from WCL.SJD.SPP@gmail.com containing instructions for completing their corresponding Google Form for the SPP, and should also have received a copy of their Candidate's answers to their SPP form.
- Monitor Candidate's compliance with SJD Program deadlines and information requests, assisting Program administrators with enforcement as appropriate.
- Counsel Candidate on research and writing strategies and plans.
- Encourage Candidate to attend SJD Program and WCL events.

Residency Year

- In Candidate's residency year, discuss course enrollment plans with Candidate and, if appropriate, require enrollment (as an auditor) in particular courses.
- Review and provide feedback on Candidate's thesis statement, literature review, and initial bibliography (first semester of the residency year).
- Review Candidate's outline and working bibliography and provide feedback (second semester of the first year).
- Schedule permitting, attend the Candidate's initial research presentation (Fall) and Public Doctoral Research Presentation (Spring) and provide feedback to Candidate regarding presentation skills and thesis development progress.

At end of the residency year, sign and submit Certification of Completion of Residency Requirements if Candidate has met the principal Program requirements for residency year. This form must be completed no later than **May 31st of the Candidate's residency year**. Failure to complete and submit this form will result in the disenrollment of the Candidate from the SJD Program.

Subsequent Years

- With Candidate's assistance and suggestions, recruit two additional members of Candidate's dissertation Examination Committee and ensure that the Candidate notify the SJD Program of Committee composition (name, contact information, title and affiliation for each) no later than **October 31st** of the second year of the Program.
- At least two members of the Committee must be faculty at American University. One member of the Committee may be faculty at another university. In exceptional cases, one member of the Committee need not have an academic affiliation, although that person must have either a J.D. or a Ph.D. and must be an expert in the field.
- Committee members must primarily reside in the United States.
- Establish deadlines for the submission of chapters of the dissertation to Advisor, Committee members, and the WCL Pence Law Library (for University Review).
- Review and comment on drafts as appropriate. Alert SJD Program if it appears that the Candidate will not be able to graduate by their anticipated graduation date.

Final Year

- Before scheduling the Candidate's defense, consult with other members of the SJD Committee to ensure that they agree Candidate is ready to defend the dissertation.
- Before scheduling the Candidate's defense, work with the SJD Program and the WCL Pence Law Library to ensure that the University will approve the dissertation.
- Work with the SJD Program to coordinate and schedule the dissertation oral defense. Four weeks' notice is required for oral defense scheduling. The deadline for spring defenses is **March 1st**.
- Chair Examination Committee during oral defense and lead the questioning following the Candidate's opening presentation. For more specific information, see Chapter 8: The Oral Defense Process.
- If necessary, provide Candidate with memo outlining revisions required for dissertation approval.
- If necessary, review additional submissions after defense to ensure compliance with the Committee's required revisions.
- Counsel Candidate on strategies for publishing dissertation.

Responsibilities of Committee Members

- Candidates and Committee members are encouraged to contact the Candidate's Faculty Advisor or Elizabeth Cavanagh with any questions or concerns.
- Read the SJD Candidate Handbook and, in particular, Chapter 5: The Dissertation Writing Process, which contains a guide to what constitutes an acceptable dissertation, and Chapter 8: The Oral Defense Process.
- Schedule permitting, attend Candidate's initial research presentation (Fall) and Public Doctoral Research Presentation (Spring) and provide feedback to Candidate regarding presentation skills and thesis development progress.
- Working with the Faculty Advisor, set a schedule for submission of drafts, including whether submission should be chapter by chapter.
- Provide timely and substantial feedback on drafts in advance of the oral defense.
- Review final submission for clarity, substance, and proper citation, and advise Candidate of any necessary changes.
- Work with Candidate and SJD Program to set a date for Candidate's oral dissertation defense.
- If not a WCL Faculty member, complete a W-9 form and an internal honorarium form before the defense.
- Attend oral dissertation defense.

- During the Oral Defense, ask appropriate questions of the Candidate. Following the defense, deliberate and render a verdict. For more specific information, see Chapter 8: The Oral Defense Process.
- If necessary, provide Faculty Advisor with memo outlining revisions required for dissertation approval.

Chapter 4: Program Guide

First-Year Timeline

To provide Candidates, Faculty Advisors, and Committee members with an understanding of the SJD Program's requirements and expectations, the SJD Program has created timelines detailing the academic requirements of the Program. To successfully complete the Program, SJD Candidates must satisfactorily meet all of the requirements set forth below.

Each semester, Candidates must meet certain benchmarks to show their progress in the Program and must meet various deadlines. These benchmarks must be addressed by Candidates in their Semester Progress Plan. Those deadlines that require Faculty Advisor or Committee member involvement are noted in **bold**.

Candidates have the option of completing the Program in three, four, or five years. Degrees are typically granted after four years, though some Candidates choose to complete the Program in three years while others take five.

Candidates are strongly encouraged to begin the Program setting out to complete the Program in four years. The four-year guide is the baseline for all Candidates, although three-year and five-year guides are also included in this manual. Candidates who meet all the requirements may complete the Program in three years, but that is not the norm nor is it expected. Candidates should choose the guide that best conforms to their current progress in the Program.

If a deadline in this guide falls on a Saturday, Sunday, or holiday, the deadline will be the following business day. Deadlines for the Doctoral Colloquium submissions are included in the syllabus for that course. Any questions about the requirements or deadlines outlined in this Guide should be directed to Professor Cavanagh.

Please review the full Program guides on the next several pages.

	Benchmarks	Deadlines
First Year, Fall Semester	Draft your thesis statement (deadline in Doctoral Colloquium syllabus).	After submitting your seat deposit: Consult your Faculty Advisor about auditing fall courses. Ensure that audited courses do not conflict with the Doctoral Colloquium.
	Maintain a research log of all searches that you conducted, databases you used, and sources you have read or will read.	August: Check your Eagle Finance page on http://myau.american.edu under the finance tab and make sure you do not have an account stop that will prevent you from registering (e.g., outdated address, unpaid bills, etc.). Unresolved account stops will result in your disenrollment from the SJD Program.
	Collect sources to read throughout the academic year.	August: Attend Orientation.
	Keep copies of all sources you may use in your dissertation and maintain an updated bibliography of sources.	Throughout the Semester: Meet regularly with your Faculty Advisor (a minimum of twice per semester is required).
	Draft your Literature Review and working bibliography (deadline in Doctoral Colloquium Syllabus).	Throughout the Semester: Attend the Doctoral Colloquium and complete all course requirements.
	Attend all scheduled dissertation defenses.	Date TBA: Attend the WCL Faculty Meeting to be introduced to WCL Faculty.
	Begin the IRB Approval Process, if necessary.	August 30 th : Complete your section of your Semester Progress Plan form (SPP) and return to WCL.SJD.SPP@gmail.com
	Begin the Responsible Conduct of Research training process, if necessary.	August 30th – September 15th: Meet with your Advisor to discuss your proposed SPP.
		September 15th: Ensure that your Faculty Advisor has completed their corresponding SPP Form and returned it to WCL.SJD.SPP@gmail.com. Failure to submit your approved SPP on time will result in your disenrollment from the SJD Program.
		September: Submit your thesis statement worksheet to Professor Cavanagh and your Faculty Advisor. (Formulation of thesis statements will be discussed in the Doctoral Colloquium).
	Date TBA: Present initial research findings to colleagues and Advisors. (To be discussed in Doctoral Colloquium).	
	November 1st: Consult your Faculty Advisor about auditing Spring courses. Ensure that audited courses do not conflict with the Doctoral Colloquium.	
	Date TBA: Register for spring classes.	
	November: Submit your Literature Review and working bibliography to Professor Cavanagh. (To be discussed in the Doctoral Colloquium).	
	December 15 th : Check your Eagle Finance page on http://myau.american.edu under the finance tab and make sure you do	

not have an account stop that will prevent you from registering (e.g., outdated address, unpaid bills, etc.). Unresolved account stops will result in your disenrollment.

Continue your research.

Keep copies of all sources, maintain an updated bibliography, and *Bluebook* now to avoid issues later!

Complete your outline and Working Bibliography.

Attend all scheduled dissertation defenses.

Throughout the Semester: Meet regularly with your Faculty Advisor (a minimum of twice per semester is required).

Throughout the Semester: Attend the Doctoral Colloquium and complete all course requirements.

January 10th: Complete your Semester Progress Plan form.

January 10th - January 24th: Meet with your Advisor to discuss your proposed SPP.

January 31st: Ensure that your Faculty Advisor has completed their corresponding SPP form. Failure to submit your approved SPP on time will result in your disenrollment from the SJD Program.

January 31st: After incorporating revisions and suggestions from Professor Cavanagh, submit your Literature Review and Working Bibliography to your Faculty Advisor and schedule a meeting to discuss feedback.

March: Exchange Outlines and Working Bibliographies in the Doctoral Colloquium.

March: Review colleagues' Outlines and Working Bibliographies and provide substantive comments and constructive criticism.

April: Set up meeting with Professor Cavanagh to discuss your proposed outline.

April: Revise Outline and Working Bibliography based on feedback from colleagues and Professor Cavanagh.

April: Present updated research findings to WCL Community. (To be discussed in Doctoral Colloquium). **** Faculty Advisors (and any anticipated Committee members) are strongly encouraged to attend.**

April: Submit your edited Outline and Working Bibliography to your Faculty Advisor, appointed Committee members, and Library staff (for University Review).

April – May: Meet with your Faculty Advisor to discuss your Outline and Working Bibliography (and edit, if necessary). Develop a timeline for work expected to be completed over the summer.

April – May: Meet with Library staff to discuss changes to your Working Bibliography and other questions about citation.

May 31st: Ensure that your Faculty Advisor has submitted the Certification of Completion of Residency Requirements Form. Failure to submit the Certification of Completion of Residency Requirements Form on time will result in disenrollment from the Program.

Four-Year Guide

Refer to the First-Year Timeline for first-year benchmarks and deadlines. The Four-Year Guide is as follows:

	Benchmarks	Deadlines
Second Year, Fall Semester	<p>Develop plan with your Faculty Advisor for submission of chapters of the dissertation to Advisor, Committee members, and the WCL Pence Law Library (for University Review).</p> <p>Submit chapters of your dissertation to your Faculty Advisor, Committee members, and to the WCL Pence Law Library (for University Review) to receive feedback while drafting.</p> <p>Identify your Committee members.</p> <p>Cite and <i>Bluebook</i> as you write – avoid problems later!</p> <p>Finalize your list of Committee members.</p>	<p>By August 15th: Check your Eagle Finance page on https://myau.american.edu/ under the finance tab and make sure you do not have an account stop that will prevent you from registering (e.g., outdated address, unpaid bills, etc.). Unresolved account stops will result in your disenrollment from the SJD Program.</p> <p>Throughout the Semester: Meet regularly with your Faculty Advisor (a minimum of twice per semester is required).</p> <p>August 30th: Submit your proposed Semester Progress Plan (SPP) form.</p> <p>August 30th – September 15th: Meet with your Advisor to discuss your proposed SPP. Out-of-residence Candidates may meet with their Advisor by phone or Zoom.</p> <p>September 15th: Ensure your Advisor submits their corresponding SPP form to the SJD Program. Failure to submit your approved SPP on time will result in your disenrollment from the SJD Program.</p> <p>October 31st: Work with your Advisor to suggest Dissertation Committee members (information about Committee composition is addressed in Chapter 5).</p> <p>Provide a final list of your Committee members (name, title, affiliation, and contact information) to the SJD Program.</p> <p>December 15th: Submit the first quarter of your dissertation (in polished draft form) to your Advisor and Committee members.</p> <p>December 15th: Check your Eagle Finance page on https://myau.american.edu under the finance tab and make sure you do not have an account stop that will prevent you from registering (e.g., outdated address, unpaid bills, etc.). Unresolved account stops will result in your disenrollment from the SJD Program.</p> <p>January 10th: Submit your proposed SPP to your WCL.SJD.SPP@gmail.com and share a copy of your answers with your Faculty Advisor.</p>

Second Year, Spring Semester

Continue providing chapters to your Faculty Advisor, Committee members, and the WCL Pence Law Library (for University Review) for feedback.

Complete the first half of your dissertation and submit it in polished draft form to your Faculty Advisor, Committee members, and the WCL Pence Law Library (for University review).

Keep copies of all sources, maintain an updated bibliography, and *Bluebook* now to avoid issues later!

January 10th – January 30th: Meet with your Advisor to discuss your proposed SPP. Out-of-residence Candidates may meet with their Advisor by phone or Zoom.

January 31st: Submit your approved SPP form to the SJD Program. Failure to submit your approved SPP on time will result in your disenrollment from the SJD Program.

March 15th: Check your Eagle Finance page on <https://myau.american.edu> under the finance tab and make sure you do not have an account stop that will prevent you from registering (e.g., outdated address, unpaid bills, etc.). Unresolved account stops will result in your disenrollment from the SJD Program.

May 1st: Submit the first half of your dissertation (in polished draft form) to your Advisor, Committee members, and the WCL Pence Law Library.

May – June: Meet with your Faculty Advisor and Committee members to receive feedback on your draft and to create timelines for submissions.

May – June: Meet with Library staff to receive feedback on your citation and formatting.

Edit your dissertation for clarity, substance, and proper citation.

Complete three quarters of your dissertation and submit it in polished draft form to your Faculty Advisor and Committee members.

Throughout the Semester: Meet regularly with your Faculty Advisor (a minimum of twice per semester is required).

By August 15th: Check your Eagle Finance page on <https://myau.american.edu> under the finance tab and make sure you do not have an account stop that will prevent you from registering (e.g., outdated address, unpaid bills, etc.). Unresolved account stops will result in your disenrollment from the SJD Program.

August 30th: Submit your proposed Semester Progress Plan (SPP form) to WCL.SJD.SPP@gmail.com and share a copy of your answers with your Faculty Advisor.

August 30th – September 15th: Meet with your Advisor to discuss your proposed SPP. Out-of-residence Candidates may meet with their Advisor by phone or Zoom.

September 15th: Ensure your Advisor completes their corresponding SPP form. Failure to submit your approved SPP on time will result in your disenrollment from the SJD Program.

December 1st: Submit three-quarters of your dissertation (in polished draft form) to your Advisor and Committee members.

December – January: Meet with your Faculty Advisor and Committee members to receive feedback on your draft and to create timelines for submission

December – January: Meet with Library staff to receive feedback on your citation and formatting.

<p style="writing-mode: vertical-rl; transform: rotate(180deg);">Third Year, Spring Semester</p>	<p>Submit final draft of dissertation to your Committee.</p>	<p>Throughout the Semester: Meet regularly with your Faculty Advisor (a minimum of twice per semester is required).</p> <p>January 10th: Submit your proposed SPP form.</p> <p>January 10th - January 30th: Meet with your Advisor to discuss your proposed SPP. Out-of-residence Candidates may meet with their Advisor by phone or Zoom.</p> <p>January 31st: Ensure your Advisor submits their corresponding SPP form. Failure to submit your approved SPP on time will result in your disenrollment from the SJD Program.</p> <p>March 15th: Check your Eagle Finance page on https://myau.american.edu under the finance tab and make sure you do not have an account stop that will prevent you from registering (e.g., outdated address, unpaid bills, etc.). Unresolved account stops will result in your disenrollment from the SJD Program.</p> <p>May 1st: Submit polished full draft to your Advisor and Committee members. Failure to submit a full draft by May 1st will preclude a timely defense.</p> <p>May – June: Meet with your Faculty Advisor and Committee members to receive feedback on your draft and to create timelines for submission.</p> <p>May – June: Meet with Library staff to receive feedback on your citation and formatting.</p>
<p style="writing-mode: vertical-rl; transform: rotate(180deg);">Fourth Year, Fall Semester</p>	<p>Edit your dissertation for clarity, substance, and proper citation. Submit your dissertation for University Review (by November 1st).</p> <p>Draft your Abstract, Acknowledgements, Table of Contents, and Bibliography.</p> <p>Ensure that your dissertation does not violate the WCL Honor Code and that your dissertation does not contain any plagiarism.</p> <p>Work with Library staff on formatting and citations.</p> <p>Submit your final dissertation to your Committee.</p>	<p>May – September: Meet with your Advisor, Committee members, and WCL Pence Law Library staff to discuss the draft you submitted in May. (The SJD Program encourages these meetings to take place over the summer, but recognizes that is not always feasible. If it is not feasible, meet in September or October, as long as a full draft can be submitted by November 1st)</p> <p>August 15th: Check your Eagle Finance page on https://myau.american.edu under the finance tab and make sure you do not have an account stop that will prevent you from registering (e.g., outdated address, unpaid bills, etc.). Unresolved account stops will result in your disenrollment from the SJD Program.</p> <p>August 30th: Submit your proposed Semester Progress Plan (SPP) form.</p> <p>August 30th – September 15th: Meet with your Advisor to discuss your proposed SPP. Out-of-residence Candidates may meet with their Advisor by phone or Zoom.</p> <p>September 15th: Ensure your Advisor submits their corresponding SPP form. Failure to submit your approved SPP on time will result in your disenrollment from the SJD Program.</p>

	<p>Schedule your dissertation defense.</p>	<p>September - October: Draft your Abstract, Acknowledgements, Table of Contents, and Bibliography.</p> <p>September - October: Edit the substance of your dissertation based on the feedback you received from the Committee and Library staff. Finalize the title, table of contents, and bibliography. Edit citations to conform to <i>Bluebook</i> rules.</p> <p>September – October: Ensure that your dissertation meets the requirements of the WCL Honor Code. Remember that your SJD can be retroactively revoked for plagiarism!</p> <p>November 1st: Submit your completed dissertation to the WCL Pence Law Library for review of whether your dissertation complies with the Provost’s formatting requirements and citation norms. The University Review process may take up to three months. You may not orally defend your dissertation until the University Review is complete.</p> <p>November 1st: Submit your completed dissertation to your Dissertation Committee for substantive review.</p> <p>November 15th: Check your Eagle Finance page on https://myau.american.edu under the finance tab and make sure you do not have an account stop that will prevent you from registering (e.g., outdated address, unpaid bills, etc.). Unresolved account stops will result in your disenrollment from the SJD Program.</p> <p>November – December: While the University Review is ongoing, continue to edit your dissertation for clarity, substance, and proper citation in consultation with your Dissertation Committee.</p> <p>November – December: Work with your Committee and the SJD Program to set a tentative date for your oral dissertation defense. Defenses must be planned at least 8 weeks in advance and must take place no later than March 1st to graduate in the spring semester. If you are planning to use a PowerPoint presentation or need other accommodations, tell the SJD Program as far in advance as possible.</p> <p>By December 15th: Dissertation defense scheduled, dissertation finalized.</p>
<p style="writing-mode: vertical-rl; transform: rotate(180deg);">Fourth Year,</p>	<p>Defend your dissertation.</p> <p>After your dissertation defense, complete any follow-up work that your Committee requires in order for you to graduate.</p> <p>Complete and return all ProQuest (publishing) forms to the WCL Pence Law Library.</p>	<p>Throughout the Semester: Meet regularly with your Faculty Advisor (a minimum of twice per semester is required).</p> <p>January: Schedule your dissertation defense. Defenses must be planned at least 8 weeks in advance and must take place no later than March 1st to graduate in the spring semester.</p>

You cannot receive your diploma until you submit these forms.

January 10th: Submit your proposed SPP form to WCL.SJD.SPP@gmail.com and share a copy of your answers with your Faculty Advisor.

January 10th - January 30th: Meet with your Advisor to discuss your proposed SPP. Out-of-residence Candidates may meet with their Advisor by phone or Zoom.

January 31st: Ensure your Advisor submits their corresponding SPP form. Failure to submit your approved SPP on time will result in your disenrollment from the SJD Program.

January: Meet with Library staff to discuss the status of dissertation and any additional work required to complete University Review. You may not orally defend your dissertation until after the University Review is complete.

January: Meet with your Committee to discuss any required changes to your dissertation.

January: Begin preparing for your oral dissertation defense. See Chapter 8, “Overview of The Oral Defense Process” for more information.

January – April: Order commencement gowns and photographs.

March 15th: Check your Eagle Finance page on <https://myau.american.edu> under the finance tab and make sure you do not have an account stop that will prevent you from registering (e.g., outdated address, unpaid bills, etc.). Unresolved account stops will result in your disenrollment from the SJD Program.

Date/Time TBD (no later than March 1st): Defend your dissertation before your Faculty Advisor, Committee members, SJD Community and the Public.

After your dissertation defense, complete any follow-up work that your Committee or the Library requires in order for you to graduate.

May: Attend commencement and celebrate! At Commencement, your Faculty Advisor will place the doctoral hood over your head, conferring your Doctor of Juridical Science degree and will address you as Doctor. Information about commencement will be announced at <https://www.wcl.american.edu/commencement.cfm>.

Five-Year Guide

The Five-Year Guide also follows the same schedule in the first year, but spreads out the deadlines in the third and fourth years over three years instead of two. The Five-Year Guide is as follows:

	Benchmarks	Deadlines
Second Year, Fall Semester	<p>Develop plan with your faculty Advisor for submission of chapters of the dissertation to Advisor, Committee members, and the WCL Pence Law Library (for University Review).</p> <p>Submit chapters of your dissertation to your Faculty Advisor, Committee members, and to Library staff (for University Review) to receive feedback while drafting.</p> <p>Identify your Committee members.</p> <p>Cite and <i>Bluebook</i> as you write – avoid problems later!</p> <p>Finalize your list of Committee members.</p> <p>Write first chapter of your dissertation.</p>	<p>By August 15th: Check your Eagle Finance page on https://myau.american.edu/ under the finance tab and make sure you do not have an account stop that will prevent you from registering (e.g., outdated address, unpaid bills, etc.). Unresolved account stops will result in your disenrollment from the SJD Program.</p> <p>Throughout the Semester: Meet regularly with your Faculty Advisor (a minimum of twice per semester is required).</p> <p>August 30th: Submit your proposed Semester Progress Plan (SPP) form to WCL.SJD.SPP@gmail.com and share a copy of your answers with your Faculty Advisor.</p> <p>August 30th – September 15th: Meet with your Advisor to discuss your proposed SPP. Out-of-residence Candidates may meet with their Advisor by phone or Zoom.</p> <p>September 15th: Ensure your Advisor submits their corresponding SPP form. Failure to submit your approved SPP on time will result in your disenrollment from the SJD Program.</p> <p>October 31st: Work with your Advisor to suggest Dissertation Committee members (information about Committee composition is addressed in Chapter 5). At least one Committee member (in addition to the Chair) must be a WCL Faculty member. The other Committee members may be a WCL Faculty member, a professor at another school, or an expert in your chosen field.</p> <p>Provide a final list of your Committee members (name, title, affiliation, and contact information) to the SJD Program by completing the SJD Program.</p> <p>December 15th: Check your Eagle Finance page on https://myau.american.edu under the finance tab and make sure you do not have an account stop that will prevent you from registering (e.g., outdated address, unpaid bills, etc.). Unresolved account stops will result in your disenrollment from the SJD Program.</p> <p>December 15th: Submit at least one chapter of your dissertation (in polished draft form) to your Advisor, Committee members, and the WCL Pence Law Library.</p>

Second Year, Spring Semester	<p>Continue providing chapters to your Faculty Advisor, Committee members, and Library staff (for University Review) for feedback.</p> <p>Complete one third of your dissertation and submit it in polished draft form to your Faculty Advisor, Committee members, and Library staff (for University review).</p> <p>Keep copies of all sources, maintain an updated bibliography, and <i>Bluebook</i> now to avoid issues later!</p>	<p>January 10th: Submit your proposed SPP form to WCL.SJD.SPP@gmail.com and share a copy of your answers with your Faculty Advisor.</p> <p>January 10th – January 30th: Meet with your Advisor to discuss your proposed SPP. Out-of-residence Candidates may meet with their Advisor by phone or Zoom.</p> <p>January 31st: Ensure your Advisor submits their corresponding SPP form. Failure to submit your approved SPP on time will result in your disenrollment from the SJD Program.</p> <p>March 15th: Check your Eagle Finance page on https://myau.american.edu under the finance tab and make sure you do not have an account stop that will prevent you from registering (e.g., outdated address, unpaid bills, etc.). Unresolved account stops will result in your disenrollment from the SJD Program.</p> <p>May 1st: Submit the first half of your dissertation (in polished draft form) to your Advisor, Committee members, and Library staff.</p> <p>May – June: Meet with your Faculty Advisor and Committee members to receive feedback on your draft and to create timelines for submissions.</p> <p>May – June: Meet with Library staff to receive feedback on your citation and formatting.</p>
Third Year, Fall Semester	<p>Edit your dissertation for clarity, substance, and proper citation.</p> <p>Complete one half of your dissertation and submit it in polished draft form to your Faculty Advisor, Committee members, and Library staff (for University Review)</p>	<p>Throughout the Semester: Meet regularly with your Faculty Advisor (a minimum of twice per semester is required).</p> <p>By August 15th: Check your Eagle Finance page on https://myau.american.edu under the finance tab and make sure you do not have an account stop that will prevent you from registering (e.g., outdated address, unpaid bills, etc.). Unresolved account stops will result in your disenrollment from the SJD Program.</p> <p>August 30th: Submit your proposed Semester Progress Plan (SPP form) to WCL.SJD.SPP@gmail.com and share a copy of your answers with your Faculty Advisors.</p> <p>August 30th – September 15th: Meet with your Advisor to discuss your proposed SPP. Out-of-residence Candidates may meet with their Advisor by phone or Zoom.</p> <p>September 15th: Ensure your Advisor submits their corresponding SPP form. Failure to submit your approved SPP on time will result in your disenrollment from the SJD Program.</p> <p>December 1st: Submit one half of your dissertation (in polished draft form) to your Advisor and Committee members.</p>

		<p>December – January: Meet with your Faculty Advisor and Committee members to receive feedback on your draft and to create timelines for submission</p> <p>December – January: Meet with Library staff to receive feedback on your citation and formatting.</p>
<p>Third Year, Spring Semester</p>	<p>Complete two thirds of your dissertation.</p>	<p>Throughout the Semester: Meet regularly with your Faculty Advisor (a minimum of twice per semester is required).</p> <p>January 10th: Submit your proposed SPP form.</p> <p>January 10th - January 30th: Meet with your Advisor to discuss your proposed SPP. Out-of-residence Candidates may meet with their Advisor by phone or Zoom.</p> <p>January 31st: Ensure your Advisor submits their corresponding SPP form. Failure to submit your approved SPP on time will result in your disenrollment from the SJD Program.</p> <p>March 15th: Check your Eagle Finance page on https://myau.american.edu under the finance tab and make sure you do not have an account stop that will prevent you from registering (e.g., outdated address, unpaid bills, etc.). Unresolved account stops will result in your disenrollment from the SJD Program.</p> <p>May 1st: Submit two thirds of your dissertation in polished draft form to your Advisor, Committee members and Library staff.</p> <p>May – June: Meet with your Faculty Advisor and Committee members to receive feedback on your draft and to create timelines for submission.</p> <p>May – June: Meet with Library staff to receive feedback on your citation and formatting.</p>
<p>Fourth Year, Fall</p>	<p>Continue to edit your dissertation for clarity, substance, and proper citation.</p>	<p>Throughout the Semester: Meet regularly with your Faculty Advisor (a minimum of twice per semester is required).</p> <p>By August 15th: Check your Eagle Finance page on https://myau.american.edu under the finance tab and make sure you do not have an account stop that will prevent you from registering (e.g., outdated address, unpaid bills, etc.). Unresolved account stops will result in your disenrollment from the SJD Program.</p> <p>August 30th: Submit your proposed Semester Progress Plan (SPP) form to WCL.SJD.SPP@gmail.com and share a copy of your answers with your Faculty Advisor.</p>

		<p>August 30th – September 15th: Meet with your Advisor to discuss your proposed SPP. Out-of-residence Candidates may meet with their Advisor by phone or Zoom.</p> <p>September 15th: Ensure your Advisor submits their corresponding SPP form. Failure to submit your approved SPP on time will result in your disenrollment from the SJD Program.</p>
<p>Fourth Year, Spring Semester</p>	<p>Complete final draft of dissertation.</p>	<p>Throughout the Semester: Meet regularly with your Faculty Advisor (a minimum of twice per semester is required).</p> <p>January 10th: Submit your proposed SPP form to WCL.SJD.SPP@gmail.com and share a copy of your answers with your Faculty Advisor.</p> <p>January 10th - January 30th: Meet with your Advisor to discuss your proposed SPP. Out-of-residence Candidates may meet with their Advisor by phone or Zoom.</p> <p>January 31st: Ensure your Advisor submits their corresponding SPP form. Failure to submit your approved SPP on time will result in your disenrollment from the SJD Program.</p> <p>May 1st: Submit polished full draft to your Advisor, Committee members, and Library staff. Failure to submit by May 1st will preclude a timely defense.</p> <p>May – June: Meet with your Faculty Advisor and Committee members to receive feedback on your draft and to create timelines for submissions.</p> <p>May – June: Meet with Library staff to receive feedback on your citation and formatting.</p>
<p>Fifth Year, Fall</p>	<p>Edit your dissertation for clarity, substance, and proper citation. Draft your Abstract, Acknowledgements, Table of Contents, and Bibliography.</p> <p>Ensure that your dissertation does not violate the WCL Honor Code and that your dissertation does not contain any plagiarism.</p> <p>Work with Library staff on formatting and citations.</p>	<p>May 1st – September 1st: Meet with your Advisor, Committee members, and Library staff to discuss the draft you submitted in May. (The SJD Program encourages these meetings to take place over the summer, but recognizes that is not always feasible. If it is not feasible, meet in September or October, as long as a full draft can be submitted by November 1st)</p> <p>August 15th: Check your Eagle Finance page on https://myau.american.edu under the finance tab and make sure you do not have an account stop that will prevent you from registering (e.g., outdated address, unpaid bills, etc.). Unresolved account stops will result in your disenrollment from the SJD Program.</p> <p>August 30th: Submit your proposed Semester Progress Plan (SPP) form.</p>

Submit your final dissertation to your Committee.

Schedule your dissertation defense.

August 30th – September 15th: Meet with your Advisor to discuss your proposed SPP. Out-of-residence Candidates may meet with their Advisor by phone or Zoom.

September 15th: Ensure your Advisor submits their corresponding SPP form. Failure to submit your approved SPP on time will result in your disenrollment from the SJD Program.

September – October: Draft your Abstract, Acknowledgements, Table of Contents, and Bibliography.

September – October: Edit the substance of your dissertation based on the feedback you received from the Committee and Library staff. Finalize the title, table of contents, and bibliography. Edit citations to conform to *Bluebook* rules.

September – October: Ensure that your dissertation meets the requirements of the WCL Honor Code. Remember that your SJD can be retroactively revoked for plagiarism!

November 1st: Submit your completed dissertation to the WCL Pence Law Library for review of whether your dissertation complies with the Provost's formatting requirements and citation norms. The University Review process may take up to three months. You may not orally defend your dissertation until the University Review is complete.

November 1st: Submit your completed dissertation to your Dissertation Committee for substantive review.

November 15th: Check your Eagle Finance page on <https://myau.american.edu> under the finance tab and make sure you do not have an account stop that will prevent you from registering (e.g., outdated address, unpaid bills, etc.). Unresolved account stops will result in your disenrollment from the SJD Program.

November – December: While the University Review is ongoing, continue to edit your dissertation for clarity, substance, and proper citation in consultation with your Dissertation Committee.

November – December: Work with your Committee and the SJD Program to set a tentative date for your oral dissertation defense. Defenses must be planned at least 8 weeks in advance and must take place no later than March 1st to graduate in the spring semester. If you are planning to use a PowerPoint presentation or need other accommodations, tell the SJD Program as far in advance as possible.

By December 15th: Dissertation defense scheduled, dissertation finalized.

Defend your dissertation.

After your dissertation defense, complete any follow-up work that your Committee requires in order for you to graduate.

Complete and return all ProQuest (publishing) forms to the WCL Pence Law Library. You cannot receive your diploma until you submit these forms.

Throughout the Semester: Meet regularly with your Faculty Advisor (a minimum of twice per semester is required).

January: Defenses must be planned **at least 8 weeks in advance** and must take place no later than March 1st to graduate in the spring semester.

January 10th: Submit your proposed SPP form to WCL.SJD.SPP@gmail.com and share a copy of your answers with your Faculty Advisor.

January 10th - January 30th: Meet with your Advisor to discuss your proposed SPP. Out-of-residence Candidates may meet with their Advisor by phone or Zoom.

January 31st: Ensure your Advisor submits their corresponding SPP form. Failure to submit your approved SPP on time will result in your disenrollment from the SJD Program.

January: Meet with Library staff to discuss the status of dissertation and any additional work required to complete University Review. You may not orally defend your dissertation until after the University Review is complete.

January: Meet with your Committee to discuss any required changes to your dissertation.

January: Begin preparing for your oral dissertation defense. See Chapter 8, “Overview of The Oral Defense Process” for more information.

January – April: Order commencement gowns and photographs.

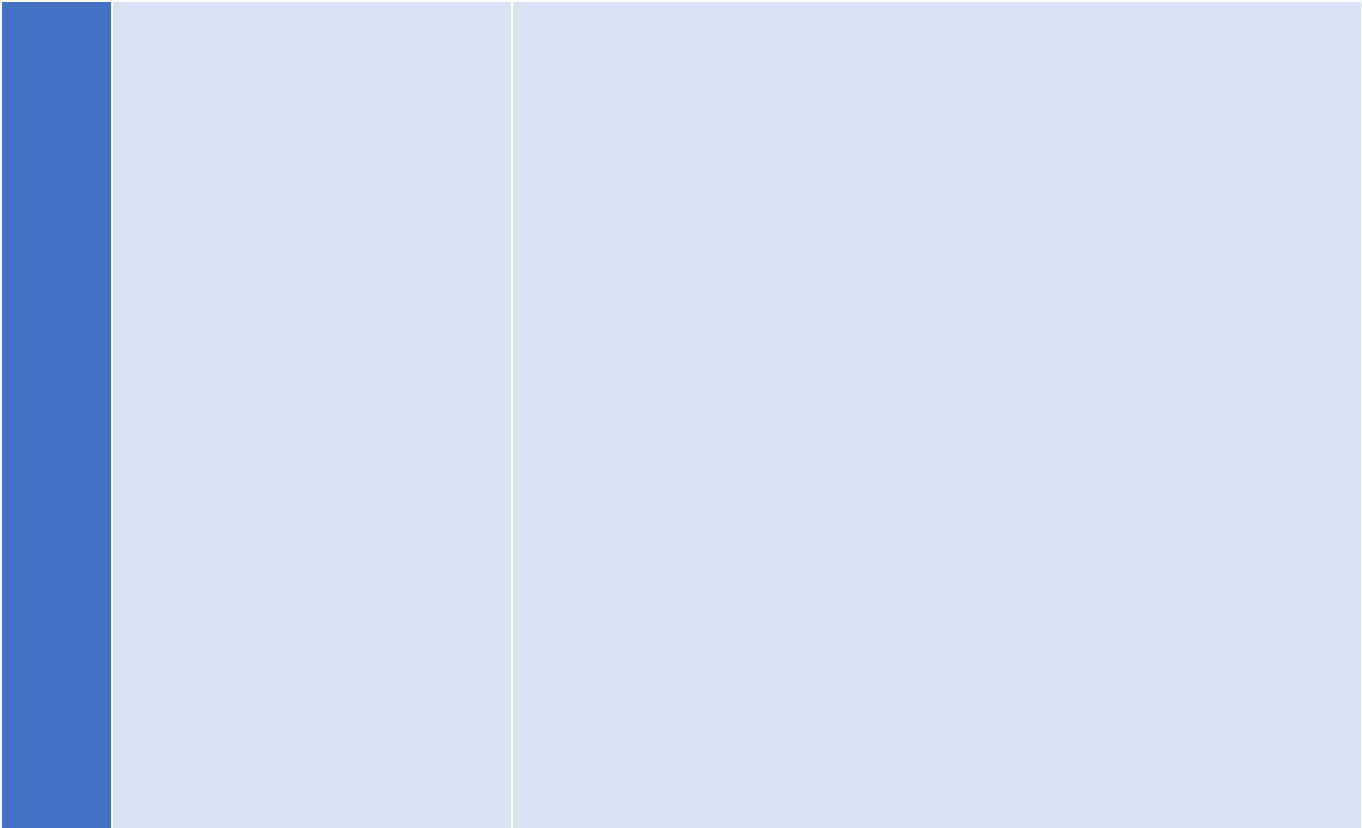
March 15th: Check your Eagle Finance page on <https://myau.american.edu> under the finance tab and make sure you do not have an account stop that will prevent you from registering (e.g., outdated address, unpaid bills, etc.). Unresolved account stops will result in your disenrollment from the SJD Program.

Date/Time TBD (no later than March 1st): Defend your dissertation before your Faculty Advisor, Committee members, SJD Community and the Public.

After your dissertation defense, complete any follow-up work that your Committee or the Library requires in order for you to graduate.

May: Attend commencement and celebrate! At Commencement, your Faculty Advisor will place the doctoral hood over your head, conferring your Doctor of Juridical Science degree and will address you as Doctor. Information about commencement will be announced at

<https://www.wcl.american.edu/commencement.cfm>.



Three-Year Guide

The Three-Year Guide also follows the same schedule in the first year and condenses the deadlines in the additional years into two years instead of three or more years. The Three-Year Guide is as follows:

	Benchmarks	Deadlines
Second Year, Fall Semester	<p>Work with your Faculty Advisor to identify your Committee members</p> <p>Develop plan with your Faculty Advisor for submission of chapters of the consideration to Advisor, Committee members, and Library staff (for University Review).</p> <p>Submit chapters of your dissertation to your Faculty Advisor, Committee members, and Library staff to receive feedback while drafting.</p> <p>Cite and <i>Bluebook</i> as you write – avoid problems later!</p> <p>Complete the first half of your dissertation and submit it in polished form to your Faculty Advisor.</p>	<p>By August 15th: Check your Eagle Finance page on https://myau.american.edu under the finance tab and make sure you do not have an account stop that will prevent you from registering (e.g., outdated address, unpaid bills, etc.). Unresolved account stops will result in your disenrollment from the SJD Program.</p> <p>Throughout the Semester: Meet regularly with your Faculty Advisor (a minimum of twice per semester is required).</p> <p>August 30th: Submit your proposed Semester Progress Plan (SPP) form to WCL.SJD.SPP@gmail.com and share a copy of your answers with your Faculty Advisor.</p> <p>August 30th – September 15th: Meet with your Advisor to discuss your proposed SPP. Out-of-residence Candidates may meet with their Advisor by phone or Zoom.</p> <p>September 15th: Ensure your Advisor submits their corresponding SPP form. Failure to submit your approved SPP on time will result in your disenrollment from the SJD Program.</p> <p>October 31st: Work with your Advisor to suggest Dissertation Committee members (information about Committee composition is available in Chapter 5).</p> <p>Provide a final list of your Committee members (name, title and affiliation) to the SJD Program by completing the SJD Program.</p> <p>December 15th: Submit the first half of your dissertation (in polished draft form) to your Advisor and Committee members.</p> <p>December 15th: Check your Eagle Finance page on https://myau.american.edu under the finance tab and make sure you do not have an account stop that will prevent you from registering (e.g., outdated address, unpaid bills, etc.). Unresolved account stops will result in your disenrollment from the SJD Program</p>

Second Year, Spring Semester

Continue providing chapters to your Faculty Advisor, Committee members, and Library staff (for University Review) for feedback.

Complete the first draft of your dissertation and submit it to your Faculty Advisor and Committee members in polished form.

Keep copies of all sources, maintain an updated bibliography, and *Bluebook* now to avoid issues later!

Throughout the Semester: Meet regularly with your Faculty Advisor (a minimum of twice per semester is required).

January: Meet with your Advisor and Committee members to discuss the draft you submitted in December.

January 10th: Submit your proposed SPP form to WCL.SJD.SPP@gmail.com and share a copy of your answers with your Faculty Advisor.

January 10th - January 30th: Meet with your Advisor to discuss your proposed SPP. Out of residence Candidates may meet with their Advisor by phone or Zoom.

January 31st: Ensure your Advisor submits their corresponding SPP form. Failure to submit your approved SPP on time will result in your disenrollment from the SJD Program.

May 1st: Submit a polished draft of your completed dissertation to your Advisor, Committee members, and Library staff. Failure to submit by May 1st will preclude a timely defense.

May – June: Meet with your Faculty Advisor and Committee members to receive feedback on your draft and to create timelines for submissions.

May – June: Meet with Library staff to receive feedback on your citation and formatting.

Third Year, Fall Semester

Edit your dissertation for clarity, substance, and proper citation.

Submit your dissertation for University Review (by November 1st).

Draft your Abstract, Acknowledgements, Table of Contents, and Bibliography.

Ensure that your dissertation does not violate the WCL Honor Code and that your dissertation does not contain any plagiarism. Work with Library staff on formatting and citations.

Begin scheduling your dissertation defense.

Submit your final dissertation to the Committee.

Begin preparing for your defense.

Throughout the Semester: Meet regularly with your Faculty Advisor (a minimum of twice per semester is required).

August 15th: Check your Eagle Finance page on <https://myau.american.edu> under the finance tab and make sure you do not have an account stop that will prevent you from registering (e.g., outdated address, unpaid bills, etc.). Unresolved account stops will result in your disenrollment from the SJD Program.

August 30th – September 15th: Meet with your Advisor to discuss your proposed SPP. Out-of-residence Candidates may meet with their Advisor by phone or Zoom.

August 30th: Submit your proposed Semester Progress Plan (SPP) form to WCL.SJD.SPP@gmail.com and share a copy of your answers with your Faculty Advisor.

September 15th: Ensure your Advisor submits their corresponding SPP form. Failure to submit your approved SPP on time will result in your disenrollment from the SJD Program.

September: Meet with your Advisor, Committee members, and Library staff to discuss the draft you submitted in May. (The SJD Program encourages these meetings to take place over the summer but recognizes that is not always feasible.)

September: Draft your Abstract, Acknowledgements, Table of Contents, and Bibliography.

September – October: Ensure that your dissertation meets the requirements of the WCL Honor Code. Remember that your SJD can be retroactively revoked for plagiarism!

September – October: Edit the substance of your dissertation based on the feedback you received from the Committee and Library staff. Finalize the Title, Table of Contents, and Bibliography. Edit citations to conform to the *Bluebook*.

November 1st: Submit your completed dissertation to Library staff for review of whether your dissertation complies with the Provost's formatting requirements and citation norms. The University Review process may take up to three months. You may not orally defend your dissertation until the University Review is complete. Failure to meet the University Review deadline will delay your defense date and your graduation date.

November 1st: Submit your completed dissertation to your Dissertation Committee for substantive review.

November – December: While the University Review is ongoing, continue to edit your dissertation for clarity, substance, and proper citation in consultation with your Dissertation Committee.

December 15th: Check your Eagle Finance page on <https://myau.american.edu> under the finance tab and make sure you do not have an account stop that will prevent you from registering (e.g., outdated address, unpaid bills, etc.). Unresolved account stops will result in your disenrollment from the SJD Program.

November – December: Work with your Committee and the SJD Program to set a tentative date for your oral dissertation defense. Defenses must be planned at least 8 weeks in advance and must take place no later than March 1st to graduate in the spring semester. If you are planning to use a PowerPoint presentation or need other accommodations, alert Elizabeth Cavanagh as far in advance as possible.

After your dissertation defense, complete any follow-up work that your Committee requires in order for you to graduate.

Complete and return all ProQuest (publishing) forms to Library staff. You cannot receive your diploma until you submit these forms.

January: Submit graduation application. Defenses must be planned at **least 8 weeks in advance** and must take place no later than March 1st to graduate in the spring semester.

January 10th: Submit your proposed SPP form to WCL.SJD.SPP@gmail.com and share a copy of your answers with your Faculty Advisor.

January 10th - January 30th: Meet with your Advisor to discuss your proposed SPP. Out of residence Candidates may meet with their Advisor by phone or Zoom.

January 31st: Ensure your Advisor submits their corresponding SPP form. Failure to submit your approved SPP on time will result in your disenrollment from the SJD Program.

January: Meet with Library staff to discuss the status of dissertation and any additional work required to complete University Review. You may not orally defend your dissertation until after the University Review is complete.

January: Meet with your Committee to discuss any required changes to your dissertation.

January: Begin preparing for your oral dissertation defense. See “Overview of the Oral Defense Process” for more information.

January – April: Order commencement gowns and photographs. Date/Time TBD (no later than March 1st): Defend your dissertation before your Faculty Advisor, Committee members, SJD Community and the Public.

After your dissertation defense, complete any follow-up work that your Committee or the Library requires in order for you to graduate.

May: Attend commencement and celebrate! At Commencement, your Faculty Advisor will place the doctoral hood over your head, conferring your Doctor of Juridical Science degree and will address you as Doctor. Information about commencement will be announced at <https://www.wcl.american.edu/commencement.cfm>.

Chapter 5: The Dissertation Writing Process

Structure of the Dissertation

Dissertations are typically 150–300 pages (including footnotes). The dissertation must be of publishable quality and must make a novel contribution to the legal literature. The dissertation must prove a central thesis, which must be novel, sound, useful, and non-obvious.

If a portion of a Candidate's dissertation has been previously published, the Candidate must ensure that there are no restrictions in the publication agreement regarding republication of the work. If the work cannot be republished, the dissertation cannot be published and the SJD degree cannot later be conferred. Additionally, the Candidate must receive approval from the SJD Program to use material that has been previously published.

Guide to Dissertation Drafting

While there is no definitive guide on how to write an SJD dissertation, there are many helpful books and resources that address writing a dissertation in another discipline and writing law review articles and other scholarly legal pieces. Two of these highly valuable resources are: the fifth edition of *Academic Legal Writing: Law Review Articles, Student Notes, Seminar Papers, and Getting on Law Review* (5th ed. 2016) by Eugene Volokh and *Developing Quality Dissertations in the Social Sciences: A Graduate Student's Guide to Achieving Excellence* (2009) by Barbara E. Lovitts and Ellen L. Wert. These books are full of tips and suggestions on the dissertation research and writing process. We strongly encourage you to read these to learn how to write an outstanding dissertation.

Remember, these resources do not specifically apply to writing an SJD dissertation, so take the information provided and adapt it to your purpose.

Selecting the Committee

The Faculty Advisor serves as the Chair of the Dissertation Committee and two other members must be appointed to make up a full Committee. One member of the Committee can be faculty at another university, but at least two must be faculty at American University. In exceptional cases, one member of the Committee need not have an academic affiliation, although that person must have either a J.D. or a Ph.D., must be an expert in the field, and there must be a dearth of experts on our faculty who could sit on the Committee. All Committee members must primarily reside in the United States.

Although the Committee composition decision is ultimately left to the Faculty Advisor, SJD Candidates must work closely with their Faculty Advisor in selecting the other members of their Dissertation Committees. Committees should be formed by the first semester of the second year so that the Committee can provide timely feedback to the Candidate as the dissertation progresses. SJD Candidates must provide the SJD Program with a final list of Committee members no later than October 31st of their second year in the Program.

The Faculty Advisor may decide to review drafts of chapters in advance of the Candidate sending those drafts to the other Committee members, but in all cases the Committee must provide substantial feedback in advance of the oral defense.

Peer Review Panels

The Program offers Candidates the opportunity to “workshop” their papers, chapters, and ideas with other members of the SJD community. The SJD Candidates and faculty may meet to discuss the work of one or two Candidates and provide feedback and critique.

Candidates who wish to present at a workshop should contact the Director of the SJD Program. SJD Candidates may present at any time during their Program beginning in the second semester of their residency year. All SJD Candidates are strongly encouraged to attend these workshops. Please note that these panels are held only at SJD Candidates’ requests, and it is incumbent on the Candidates to request that they take place.

National Roundtable

Each year, SJD Candidates from universities across the U.S. meet to discuss their dissertations and to receive feedback on their research and establish contacts with other SJD Candidates.

The Roundtable is held in either April or November. Additional information will be provided in the fall semester. All first-year SJD Candidates in residence are required to attend the Roundtable.

Editing

Due to the unique nature of the SJD Program, SJD Candidates are encouraged to engage editors to review the grammar, structure, and citation form of their dissertations. Editors may not contribute to the substantive

aspects of the dissertation. The original thought contained in the dissertation must be the Candidate's alone. SJD Candidates are required to comply with the WCL Honor Code in all respects.

The Program maintains a list of editors who have indicated interest in working with SJD Candidates on their dissertations. The Program makes no representations about the qualifications of these editors, nor is the Program involved in any way in the relationship between the Candidate and the editor. SJD Candidates are encouraged to talk with other Candidates about expected level of compensation and editing expectations. Whenever engaging any editor, Candidates are advised to interview the editor to understand the editor's level of experience, especially with the *Bluebook*. A list of potential editors is available [here](#).

The entity below is familiar with the University's formatting requirements and has successfully assisted main campus Ph.D. Candidates in the past. Companies like this one charge a fee for the formatting and editing services they provide. Candidates may utilize these resources, but there is no obligation to do so.

Manuscript Edit: <https://www.manuscriptedit.com>

Chapter 6: Dissertation Formatting and Submission

American University requires certain formatting and dissertation submission processes. Because these rules are mandated by the University, Candidates must fully comply with them to obtain their degrees. SJD Candidates must review the guide and templates available at <https://bit.ly/dissertationguide> for more information about the formatting and submission process. The process is complex and time-consuming and Candidates are encouraged to familiarize themselves with the requirements early in their SJD candidacy to ensure compliance.

Dissertation Templates

As noted, the University's formatting and submission requirements are voluminous. Candidates are therefore urged to use the templates that the University has created to help ensure compliance with the formatting requirements. The University's templates are available at <https://bit.ly/WCLtemplates>. Candidates should draft their entire dissertations in the template rather than copying and pasting it. Because of the complex formatting in the templates, copying and pasting the dissertation can disturb the formatting.

Submission Requirements

American University requires dissertations to be submitted electronically. Students must submit the final document to WCL on or before the published submission deadline. Dissertations must be submitted electronically through ProQuest Dissertations and Theses database (PQDT) and the American University Research Commons (American University's digital repository).

The deadlines for submission of the completed dissertation are:

- December Graduation: December 7th
- May Graduation: April 29th
- August Graduation: August 8th

Dissertation Checklist

This checklist is meant to serve only as a quick reference to the dissertation guidelines established by American University. The full text of the guidelines should be reviewed to ensure all requirements are met before final submission.

Sequence of Pages

- Correct order

Title Page

- Matches American University's web templates/samples
- No page number can be displayed on this
- Title should display at least one inch from the top of the page (1.25 inches from the top of the page is recommended)
- Full title in all capital letters
- Title centered on page
- No period after the title
- "By" followed by student's name centered on page below title
- Degree Statement centered on page below student's name
- List of Dissertation Committee members with signature lines on right side of document
- List "Dean of the Washington College of Law" with signature line and date on left side of document
- Year degree was granted followed by "American University, Washington, D.C. 20016" centered at the bottom of the page

Copyright Page

- Copyright statement
- Student's name
- Year of actual graduation

Abstract Page

- Title of Dissertation, centered, written in capital letters at top of page
- Student's name, centered
- The word "Abstract," centered
- The body of the abstract, left or full-justified

Page Numbering

- No page number displayed on title page
- Page numbers are consistent throughout dissertation
- Page numbers fall within the margin, at least $\frac{3}{4}$ of an inch from the edge of each page
- Page numbers are either at bottom center, bottom right, or top right of each page

Margins

- Top: 1"; left: 1"; bottom: 1"; right: 1"; page numbers (within the margins) set at least $\frac{3}{4}$ of an inch from the edge of each page
- Consistent margins throughout the dissertation
- Text is either left-justified or full-justified

Line Spacing

- Space-and-one-half or double-space line spacing
- All paragraphs are indented from the left margin
- Text is double-spaced (with few exceptions such as block quotations, scholarly references, and titles where single spacing is allowed)

Fonts

- Same font used throughout dissertation
- 10pt Arial or 12pt Times New Roman used for text
- Chapter and section headings are no larger than 14pt
- Tables, captions, and footnotes are no less than 10pt

Table of Contents

- All content in dissertation, beginning with Abstract, but excluding ToC is listed (e.g. chapter title, appendices, references etc.)
- Two levels of subheadings at maximum
- Chapter subheadings are single-spaced
- Page numbers are flush right

Tables and Figures

- List of Tables is formatted in the same as ToC
- Must fit within the margins of dissertation
- Illustrations must be numbered consecutively and placed within 1-3 pages of the text to which it refers
- Figure captions are no smaller than 10pt

Body

- Body is typed continuously
- Text is double-spaced
- Each new chapter begins on a fresh page

Letters of Permission

- Letters requested
- Letters received
- Letters submitted to UMI

Formatting

- Fonts embedded
- One single PDF file with no security settings

ProQuest

To facilitate the required electronic submission of a dissertation the University requires each Candidate to create an electronic ProQuest account. ProQuest is the University contracted distributor of all dissertations and thesis completed at AU.

To create a ProQuest account, go to the ETD homepage at <https://bit.ly/ETDhome>. This address may also be found on the website of the Vice Provost for Research and Dean of Graduate Studies: <https://bit.ly/ThesesGuide>, under the ETD Submission Site link. From the ProQuest home page, click on “Submit my Dissertation/Thesis” and create an account when prompted.

The University requires each Candidate to create an account and complete the ProQuest application, including choosing a publishing option. ProQuest provides two publishing options: either traditional publishing or open publishing. Information concerning these options is available on the ProQuest site. There is no cost to Candidates who choose traditional publishing. Open publishing will cost the Candidate \$65. Additionally, Candidates may also choose to have ProQuest file for U.S. copyright for them and may also choose to purchase copies of their published dissertations from ProQuest. If the Candidate chooses either of these last two options or open publishing, they must include a credit card number in the appropriate place on the application form. Candidates cannot graduate if they do not complete this requirement.

Chapter 7: Research Policies and Training

Responsible Conduct of Research Policy

Research, scholarship, professional, and creative activities conducted at American University are to be done according to the highest ethical and professional standards. A framework for imparting the “best practices” associated with ethical and professional standards, and increasingly regarded as a critical component of scholarly and career development, is training in the Responsible Conduct of Research (RCR). The initial National Institutes of Health (NIH) policy on RCR was published in 1989. RCR training was mandated for graduate students and postdoctoral fellows and faculty funded by the NIH training grants and career awards. New standards for RCR training were issued by the NIH on January 24, 2010.

RCR training is required for NIH Institutional Research Training Grant Awards, Individual Fellowship Awards, Career Development Awards (Institutional and Individual), Research Education Grants, Dissertation Research Grant Awards, and other grant awards with a training component as noted in the funding opportunity announcement and must meet more specific guidelines. As of January 4th, 2010, RCR training is also required for undergraduates, graduate students, and postdoctoral fellows funded by the National Science Foundation (NSF). **Beyond these regulations, RCR training is strongly encouraged for all faculty, staff, and students engaged in scholarly work, regardless of funding source or field of study.**

RCR training usually includes the following topics:

- Research Misconduct (falsification, fabrication, plagiarism)
- Conflict of Interest and Conflict of Commitment
- Authorship and Publication
- Data Management and Data Ownership
- Mentor and Traineeship Responsibilities
- Peer Review
- Collaborative Research
- Protection of Human Subjects
- Welfare of Laboratory Animals

Other topics frequently considered in RCR training include ethical deliberation, whistle blowing, lab management, environmental and lab safety, intellectual property, national security and export control, research in international and intercultural environment, and public diplomacy/policy.

American University is in the process of developing resources for RCR training, including an online course developed by the Collaborative Institutional Training Initiative (CITI). The CITI online course can be accessed at <https://bit.ly/CITIprogram>. Be sure to affiliate with American University during registration. Do not select “Human Subjects Research” modules from the “Select Curriculum” screen unless directed by your Faculty Advisor or the Institutional Review Board (IRB).

Institutional Review Board Requirements and Approval Process

Federal regulations and American University policy require that any research conducted using human subjects must be submitted to the Institutional Review Board (IRB) for approval. Such research includes any information gained from other humans, including interviews. The Program expects that the research for many SJD Candidates may ultimately be exempted from the review process. As a member of the American University community, however, Candidates must ensure they are in compliance with the IRB policies. See the [AU IRB FAQs page](#) for more information.

Cayuse IRB is available to American University faculty, staff, and students. Students will also need a faculty advisor to use the online system. Please contact Matt Zembrzski, AU Senior Manager for Research Integrity at irb@american.edu for more information, or visit the AU Research Central Help page at <https://help.american.edu/research>.

Notice - Please submit forms at least one month prior to the projected start of research.

1. Unsure if your project qualifies as human subjects research? Use the [IRB determination tool](#). If you are instructed to apply for IRB exemption or approval, proceed to step 2.
2. To start an IRB application:
 - a. Log in to Cayuse IRB and create a New Study.
 - b. From there, Add a New Submission, and select Initial Submission.
 - c. Edit the Initial Submission form and provide details for every question.
 - i. On the first section of the application, you will select either a request for exemption, or apply for an expedited or full IRB review.
 1. (Review the categories for exemption- knowledge article link).
 2. (Review categories for expedited review - knowledge article link).
 - ii. IRB staff or IRB members will ultimately determine if exemption, expedited review, or full board review are required.

- iii. You will be able to upload documents such as informed consent forms, recruitment materials, training certificates, and survey instruments within Cayuse IRB.
3. When you are finished and ready to submit, be sure all sections in Cayuse IRB have a green check mark on the right.
 - a. Any form fields with a red asterisk are required, and the check mark will not appear until the required fields are complete.
 - b. After you submit, the application is routed to the Principal Investigator (PI).
 - i. If you are the PI, you will need to certify the submission.
 - ii. If you are not the PI, the PI will receive an automated email notification to certify the application.
4. Your application will be reviewed to determine if it is complete.
 - a. Incomplete applications will be rejected and returned to the PI.
 - b. Completed applications will be evaluated to determine if they fall within one or more of the specified categories of exempt research per federal regulations, or if they should have either an expedited or full board review.
5. Exempt requests will be reviewed by the designated IRB member and/or the IRB Coordinator.
 - a. Denials will be forwarded to the IRB chair for expedited review.
 - b. Once it is determined if an application is exempt, the investigator will be informed of the decision.
6. Expedited requests will be reviewed by the IRB Chair or the designated IRB member and/or the IRB Coordinator.
 - a. Denials for expedited review will be forwarded for full board review by the IRB.
 - b. The PI will be informed of the decision.
7. The IRB will meet once a month to review applications.
 - a. Approved applications may be valid for up to one year.
 - b. After one year, a renewal submission must be filed within Cayuse IRB if the work is to continue.
 - c. Federally funded research must be renewed on an annual basis. The investigator will be informed of the decision.
8. Work on a project cannot extend beyond the date approved by the IRB.

- a. If it is necessary for work to extend beyond this date, a Renewal Submission must be entered into Cayuse IRB.
9. Work on a project cannot be modified from the approved protocol without approval.
 - a. If any changes are to be made, a Modification Submission must be entered into Cayuse IRB.
10. No research can be conducted until the investigator has received confirmation from Cayuse IRB that the application is either exempt or approved, or in the case of renewals and modifications, until they are approved.

Please contact the IRB Coordinator Matt Zembruski at irb@american.edu/(202)-885-3447 if you have questions about the process. To check the status of your application, login to your Cayuse IRB account and open your study.

Please note that the IRB meets only once a month and you cannot conduct any human subject research until the IRB confirms that you are either exempt or approved. For these reasons, if you are intending to do any research that may require IRB exemption or approval in the coming months, you should submit the required paperwork as soon as possible.

What is the purpose of IRB approval?

Research conducted using human participants is overseen by American University's IRB. Its purpose is to facilitate human subjects research and to ensure the rights and welfare of human subjects are protected during their participation.

What needs IRB approval?

All Human Subjects Research must receive approval from the IRB. Therefore, if your research meets the definitions of both research and human subjects, you must complete the IRB process.

What are the definitions of research and human subjects?

Federal regulations define research and human subjects as follows:

Research is a systematic investigation, including research development, testing and evaluation, designed to develop or contribute to generalizable knowledge. Activities which meet this definition constitute research for purposes of this policy, whether or not they are conducted or supported under a Program which is

considered research for other purposes. For example, some demonstration and service Programs may include research activities.

A *human subject* is a living individual about whom an investigator (whether professional or student) conducting research obtains:

1. Data through intervention or interaction with the individual, or
2. Identifiable private information.

In determining whether you meet these definitions, consider the following three questions:

1. *Does your project constitute human subjects research, as defined in federal regulations?*

Research is a systematic investigation, including research development, testing and evaluation, designed to develop or contribute to generalizable knowledge.

One way of thinking about the meaning of “designed to develop or contribute to generalizable knowledge” is to consider whether the intent is to publish the results (e.g., in a journal that the students from SIS publish called Journal of International Service or a peer-reviewed scholarly journal) or present them at a professional conference. If so, the project is research. If not, in particular if the main intent is advancing the student’s research training, then in the eyes of the IRB, the project is not research. The responsibility for monitoring it lies with the faculty supervisor (who should complete human subjects ethics research training), not the IRB.

A human subject is a living individual about whom an investigator (whether professional or student) conducting research obtains data through intervention or interaction with the individual, or Identifiable private information. The word “about” is important here. Asking a subject what she or he thinks about the IRB process at American University, and collecting this information anonymously, would not constitute research with human subjects because the information is not “about” the subjects but rather about the IRB process.

2. *Does your research involve vulnerable populations (children, prisoners, pregnant women, or handicapped or mentally disabled persons)?*

If so, it should be submitted to the IRB, regardless of whether it meets the federal definition of human subjects research.

3. *Does your research pose minimal risk to participants?*

Minimal risk means that the probability and magnitude of harm or discomfort anticipated in the research are not greater in and of themselves than those ordinarily encountered in daily life or during the performance of routine physical or psychological examinations or tests. If the research is more than minimal risk, it should be submitted to the IRB no matter what.

In sum, if your project is minimal risk and does not involve a vulnerable population and does not meet the federal definition of human subject's research, then it does not have to be submitted to the IRB and can instead be supervised solely by your Faculty Advisor.

If you have any questions about the application of this analysis to your project, contact the IRB Coordinator Matt Zembrzuski at irb@american.edu.

Citation Requirements

All citations within the dissertation must conform to the format and rules outlined in *The Bluebook: A Uniform System of Citation* (21st ed.). *Bluebook* citation requirements are discussed extensively in the Doctoral Colloquium. The closest approximation to a dissertation type of document addressed in *The Bluebook* is the law review article. Candidates should follow the rules and format that apply to this style of legal writing.

The Bluebook must be followed throughout the dissertation, with two exceptions.

First, *The Bluebook* does not contain guidance concerning the formulation, organization, and content of the Bibliography, a University requirement for the dissertation as well as a universally recognized part of an academic work. The general rule is to use exactly the same citation you have used in an introductory footnote, without a pin cite. Furthermore, you may, but are not required to, include the place of publication and the publisher for a book. For all citations with an author (e.g., books, periodicals, etc.), you must switch the order of the names in the citation. For example, consider the following footnote:

DEBORAH L. RHODE, JUSTICE AND GENDER 56 (1989).

One option of a proper citation in a bibliography is:

RHODE, DEBORAH L., JUSTICE AND GENDER (1989).

A second option of a proper citation in a bibliography is:

RHODE, DEBORAH L., JUSTICE AND GENDER (Oxford, Oxford Univ. Press 1989).

If you choose to include the place of publication and publisher for one book, you must do so for all.

Second, although *The Bluebook* provides guidance about how to cite non-U.S. material in [Table T.2](#), not all jurisdictions are covered. If the jurisdiction is not contained in Table T.2, Candidates should contact Library staff to devise a system for citing to the jurisdiction.

ORCID

Your name alone is too ambiguous to globally, uniquely identify you (and your work) as a researcher. A solution: ORCID, the Open Researcher and Contributor Identifier.

ORCID provides a persistent digital identifier that distinguishes you from every other researcher and, through integration in key research workflows such as manuscript and grant submission, supports automated linkages between you and your professional activities ensuring that your work is recognized. All SJD Candidates must register for an ORCID ID. Registration for an ORCID ID can be accessed at <https://bit.ly/AUsjdORCID>.

Chapter 8: The Oral Defense Process

Scheduling the Oral Defense

SJD Candidates and their Advisors must schedule the defense with the SJD Program as soon as is practicable in the semester before the Candidate intends to graduate. Because Committees often require Candidates to revise the dissertation significantly after the defense, all defenses must take place early in the semester in which a Candidate intends to graduate. When scheduling the dissertation defense, candidates must give at least eight weeks' notice to Professor Cavanagh. Following the defense, Candidates must comply with all directions from their Committee members, the Library, and the SJD Program by the deadlines below.

Two conditions must be met before scheduling of the defense can commence:

1. The Committee must agree that the Candidate has made sufficient progress on the written work product such that the Candidate will be able to complete all requirements before the end of the semester.
2. The WCL Pence Law Library must certify that the Candidate has made sufficient progress on the formatting and citation requirements such that the Candidate will be able to achieve University certification no later than the following dates (unless the deadline falls on a Saturday, Sunday or holiday, in which case the deadline will be rescheduled to the following business day):

Defenses must be completed by:		Dissertations must be completed and approved by all Committee members and the Library by:
December Graduation	October 15 th	December 7 th
May Graduation	March 1 st	April 29 th
August Graduation	July 15 th	August 8 th

Preparing for the Oral Defense

The purpose of the dissertation defense is to share the results of the doctoral dissertation, to demonstrate to the faculty and academic community the depth, breadth, and contribution of the work, and to convince the Examination Committee that the quality of the dissertation research and writing is worthy of conferral of a doctoral degree. Candidates must also demonstrate their ability to publicly speak about their work and their ability to defend their work when questioned.

The scope of the oral dissertation presentation should include the basis for the position and the core argument of the dissertation. Candidates must be prepared to answer questions about the legal, historical, and political background of their topic as well as the finite details and assertions of the dissertation.

Examination Committee

The Examination Committee comprises the Faculty Advisor and the Dissertation Committee members who were appointed in the Candidate's second year. At least two members of the Committee must be faculty at American University. One member of the Committee may be faculty at another university. In exceptional cases, one member of the Committee need not have an academic affiliation, although that person must have either a J.D. or a Ph.D. and must be an expert in the field. Committee members must primarily reside in the United States.

Committee members and SJD Faculty and Administration members will be seated at tables in the front of the room and the rest of the audience will be seated behind them.

Audience

The oral dissertation defense is open to any interested members of the academic or professional community including, but not limited to, Committee members, students, faculty, deans, professionals, Program graduates, and other members of the public. Personal guests may attend but must respect the professional nature of the event.

Procedure

Candidates are encouraged to use PowerPoint or other computer presentations during their defense. Candidates are responsible for creating their own visual aids but should notify the SJD Program in advance of the defense of the aids they intend to use to ensure that the correct technology is present. Candidates should not include any American University Washington College of Law logos in their presentation and/or presentation materials.

The Candidate should arrive at least 30 minutes before the scheduled start time to ensure presentation materials are ready, to check that the room is comfortable for the audience, and to greet Committee members and audience members as they arrive. Candidates should be particularly careful to ensure that the audiovisual equipment is working in advance of the scheduled start time. Candidates should host the event in a professional manner of the quality and contribution of the work they are presenting.

Defense Timeline

The dissertation defense, which lasts approximately two hours, takes the following form:

Introduction

The SJD Program Director opens the defense, greets the audience, provides a general outline of the proceedings, and introduces the Candidate and Faculty Advisor. The Chair of the Examination Committee introduces the other Examination Committee members.

Oral Presentation

The Candidate delivers their oral presentation of the dissertation for no more than 25 minutes. Interruptions from the Examination Committee are limited to only those that are absolutely necessary (i.e., to clarify a material point, instruct the Candidate to speak more loudly or more slowly, etc.).

Questioning by the Committee

The Advisor leads the Examination Committee in approximately 45 minutes of sustained, probing, and challenging questioning of the Candidate's conclusions, arguments, and assumptions. Questions will be tailored to the Candidate's topic, but types of questions the Candidate should be prepared to answer or respond to include the following:

- Explanation of "basic" law on your topic
- What is the point of your thesis? Why?
- What are alternatives to your theory, conclusion, or proposal?
- What are the practical consequences of your proposed solution?
- If you propose a change to the law, what legislative bodies would need to pass the bill/amendment/rule? What is the practical likelihood of the proposed change passing? What procedural hurdles might the proposal face?
- What are historically relevant events and legal/political theories as well as the possible criticisms that those theorists may have with respect to your thesis?
- What criteria are you using to measure whether the state of the current law is sufficient? And if it is not, then why is it not?
- What would be the economic benefits and burdens of your proposal?
- Has there been an effort to make the changes you propose? What were the results?
- How will your proposal be legally binding?

- Who will be affected by your proposal? How will they react to that proposal? Countries? Organizations? People?

Questioning by the Audience

The Advisor invites questions from observers, and the Examination Committee monitors the Candidate's responses to one or two questions.

Committee Deliberation

Before the Committee retires, the Faculty Advisor may either (1) invite observers to remain in the defense room and continue questioning the Candidate in the Committee's absence and then remain for the delivery of the Committee's verdict or (2) adjourn the public portion of the defense.

Committee Verdict

Once the Examination Committee has reached a verdict, it returns to the defense room and the Faculty Advisor delivers one of five possible verdicts (described below). The Advisor may then lead the Examination Committee in the provision of feedback to the Candidate.

Potential Verdicts

In its deliberations, the Examination Committee must decide among five verdicts, mindful that the standard for degree conferral is the submission and defense of a dissertation of publishable quality that makes a scholarly and original contribution to the literature and the area of the Candidate's specialization, and that is typically from between 150 and 300 pages in length. The five verdicts are:

Unconditional Substantive and University Clearance Pass

This verdict means that the SJD Degree will be conferred without any additional required edits to the dissertation, although informal suggestions for improvement can be offered to the Candidate. Candidates who have not yet received University clearance are not eligible for this verdict. Following this verdict, all three Committee members will sign the title page of the dissertation.

Unconditional Substantive Pass and Conditional University Clearance Pass

This verdict means that the SJD Degree will be conferred without any additional substantive edits to the dissertation, although informal suggestions for improvement can be offered to the Candidate, but the Candidate still needs to receive University clearance. Candidates who have met all substantive requirements

but who have not received University clearance before the defense must receive this verdict. Following this verdict, all three Committee members will sign the title page of the dissertation.

Approval of the Dissertation Conditioned on Minor Improvements

This verdict means that the SJD Degree will be conferred only upon submission to the Advisor of a final dissertation draft incorporating relatively minor substantive improvements, within six weeks of the oral defense. Following this verdict, the two Committee members other than the Committee chair will sign the title page of the dissertation. The Faculty Advisor shall prepare and deliver within one week to the Candidate a memorandum detailing the reasons supporting the verdict and the specific conditions attached to approval of the dissertation and, thus, the degree's conferral.

Approval of the Dissertation Conditioned on Major Improvements

This verdict means that the SJD Degree will be conferred only upon submission to the full Examination Committee of a final dissertation draft incorporating relatively major substantive improvements, within twelve weeks of the oral defense. Following this verdict, no Committee members sign the title page of the dissertation. The Faculty Advisor shall prepare and deliver within one week to the Candidate a memorandum detailing the reasons supporting the verdict and the specific conditions attached to approval of the dissertation and, thus, the degree's conferral.

Failure

Failure of the defense should be reserved for those Candidates whose dissertations require improvements not capable of being made within 12 weeks. Following this verdict, no Committee members sign the title page of the dissertation. The Faculty Advisor prepare and deliver within one week to the Candidate a memorandum detailing the reasons supporting the verdict.

Chapter 9: Graduation Requirements and Commencement

Application for Graduation

SJD Candidates must submit an Application for Graduation in the Office of the Registrar before the end of the Add/Drop period for their final semester of study. The application will trigger a review of the student's academic record to determine whether it is possible for the student to complete degree requirements at the end of that term. The Office of the Registrar cannot process the necessary information for final clearance to graduate until the application is submitted either in-person or electronically. SJD Candidates who fail to complete all degree requirements by the end of the term for which they applied to graduate must reapply in order to graduate later. The Application for Graduation is available online at the [MyAU Eagle Finance Student Registration and Planning Portal](#).

Students anticipating graduation in August must complete the graduation application form during the registration period in the **Spring** semester. This will ensure that August graduates are provided timely information about commencement logistics and festivities. Please note that August graduates must pay tuition for the summer term.

Commencement

All Candidates are encouraged to attend their Commencement exercises. The date for Commencement is in the Academic Calendar, which is located at <https://bit.ly/WCLRegistrar>. Students are advised to check the WCL website and their american.edu email accounts for updated information about commencement as it approaches.

Eligibility to Attend Commencement

Commencement is held every May and December. Only Candidates who have completed all requirements for the degree may participate in Commencement. Candidates who have defended their dissertations but have not completed all additional work required by their Committees and/or the Library may not participate in Commencement. August and December graduates are invited and encouraged to attend the first Commencement held after they graduate, i.e. in December or in May.

Academic Regalia

Cap, gown, and hood rental information will be available in March at <https://bit.ly/WCLCommencement>. Herff Jones provides the academic regalia. When ordering regalia, Candidates should request the same regalia as LLM graduates. Graduation announcements and other graduation mementos can also be ordered at this

time. Students may pick up their regalia in May at the Campus Book Store a couple of days before the commencement ceremony. Students may keep their tassels, but all other regalia must be returned to the Campus Book Store after the commencement ceremony. If the regalia is not returned, the University requires that a hold be placed on transcripts and bar forms.

Photographs

Group photographs of the graduating SJD Candidates in full academic regalia are taken before Commencement begins. Students are advised to check MyWCL, the WCL website, and their american.edu email accounts for information on purchasing the photographs.

Ceremony

Commencement Exercises are held at the Bender Arena on American University's Main Campus. No tickets are required for entry. SJD Candidates are announced individually and the individual Candidate is "hooded" by their Faculty Advisor in front of all attendees.

Reception

Following the Commencement ceremony, a reception is held for all graduates on Main Campus.

Celebrations

Graduates Reception

WCL holds a mid-year reception to honor all August and December graduates. August and December graduates are encouraged to attend both this reception and the reception outlined below.

WCL Commencement Reception

The Dean invites all graduating students to a reception the evening before Commencement. The event is open to graduates and a limited number of their friends and family. More information is announced in the spring semester.

Chapter 10: Law School and University Services and Opportunities

American University Writing Center

The Writing Center is open to all students enrolled in undergraduate or graduate level courses at American University. Writing Center counselors are available to assist students in every stage of the writing process. The Writing Center also conducts a workshop for international students on how to write in the United States.

The Writing Lab is located in the Academic Support Center in MGC 243 on Main Campus. To make an appointment with a counselor, please visit [their online appointment platform](#). For more information on the Writing Lab, please visit [their "about us" page here](#), or email writingcenter@american.edu.

Center for Teaching, Research and Learning (CTRL) Services

CTRL provides opportunities for American University faculty and students to enhance their research skills and knowledge of research methods. Located in Room 214 in the Hurst Building on Main Campus, CTRL unites faculty and students from across disciplines to discuss research practices. CTRL is open Monday through Friday from 9:00a.m. to 5:00p.m. The Research Support Group of CTRL also offers access to specialized software and technical support. For more information, please visit [their webpage](#) or email ctrl@american.edu.

Software Support Workshops

CTRL provides access to specialized research-related software and computer programs and offers training on these for the AU community. Lab consultants are available on site to provide support. Information on workshops is available here: <https://edspace.american.edu/ctrl/software-support/>.

GIS and Mapping

CTRL offers geographic information system (GIS) software to create and analyze maps. GIS can be a useful tool in analyzing the impact of certain laws. For example, GIS has already been used in public law to show the outcomes of criminal cases by geographical district. CTRL offers online tutorials and one-on-one sessions with staff to create maps that best suit your needs. For more information on GIS, visit the link under the above section and consult the pages on GIS and Mapping.

Research Consultations

CTRL offers one-on-one research consultations with expert consultants that can offer assistance to students and faculty in learning the various types of software that the Center supports. No appointments are needed but

can be scheduled via the link in the Software Support Workshops section (right hand side, select “Schedule a Research Consultation”).

Research Events

CTRL offers research methods seminars that showcase the work of professional researchers. Researchers present a recent project with emphasis on method selection, implementation, and analysis. The seminars may provide information on new methods and resources to research specific topics. For more information on the seminars, and to locate past and future events, please visit https://edspace.american.edu/ctrl/events_ctrl/.

Graduate Research Center (GRC) Services

The Graduate Research Center is a study and event space for graduate students. The Center is located on Main Campus between the Library and the School of International Service. The Center is open 24 hours a day, 7 days a week for graduate student use while classes are in session. Center staff is on site from 8:00a.m. to 8:00p.m. on Monday through Friday and from 9:00a.m. to 8:00p.m. on Saturday and Sunday. Graduate students must use their student ID cards to access the Center after hours. For more information on the Center, please visit <http://bit.ly/GRCenter> or call (202) 885-3202.

Collaborative Workroom Reservations

The Graduate Research Center has two workrooms that are available for student use. Each workroom can facilitate groups of two or more students. Graduate students can reserve a workroom for up to three hours between the hours of 8:00 a.m. and midnight. The workrooms are available on a first- come, first-serve basis at all other times. For more information and to learn how to make a reservation, please visit <https://bit.ly/LibraryPolicies> (under “Group Study Rooms”).

Day-Use Locker Checkout

Students can rent lockers at for up to 24 hours at the Center from the GRC desk. Lockers are due when the library closes the following day. For more information on locker policy, please visit <https://bit.ly/GRCenter> or call the GRC desk at (202) 885–3232.

Dissertation Formatting Assistance

GRC staff is available to assist students in organizing and formatting their dissertations. Assistance is available on a walk-in basis between 8:00a.m. and 8:00p.m. on Monday through Friday and between 9:00a.m. and 8:00p.m. on Saturday and Sunday. Any specific questions involving research, content, or citations should be directed to an appropriate person at WCL, such as a research librarian, professor, or dissertation Advisor.

Continuing Legal Education (CLE) Credit

SJD Candidates who are members of a bar in the United States may be eligible to receive Continuing Legal Education (CLE) credit for attending classes or WCL events for which CLE credit has been applied. WCL is an accredited provider for Virginia, Pennsylvania, and New York. Accreditation for all other states is applied for as requested. For more information or to start the process, students should contact cle@wcl.american.edu. The process can be lengthy and students are encouraged to seek CLE certification as early in the Program as is feasible.

Requesting an Official Transcript

American University Washington College of Law has retained Parchment LLC to accept transcript orders over the Internet. You will need a payment method and your years of attendance at WCL (i.e. year start: 2010; year end: 2013). Order your transcript [here](#).

Transcripts will not be furnished until all financial obligations to the University are satisfied. Questions regarding financial matters should be addressed by contacting the AU Central by phone at 202-885-8000 or by email at aucentral@american.edu.

Inclement Weather Information

The University Provost decides whether or not to close or to delay the opening of the university. In the event that the opening of the university is delayed or cancelled, any classes scheduled to begin before the time the campus opens will not meet. All other WCL classes will meet as scheduled.

The resources below can provide you information on school closings and class cancellations or delays:

- AU Information Line (202-885-1100)
- WCL Class Cancellation Line (202-274-4400)
- Local TV and radio stations, including:
 - WAMU (88.5 FM)
 - WASH (97.1 FM)
 - WMAL (630 AM)
 - WTOP (103.5 FM)

Students may call 202-885-1100 beginning at 6:00a.m. to hear a recording announcing inclement weather and closings. Students are also encouraged to call the WCL Class Information Line at 202-274-4400 during inclement weather because individual class cancellations may occur even if WCL is open.

RAVE Alerts

To receive text messages and/or emails from the University about closings, delayed openings and other emergencies, students should sign up for RAVE Alerts. RAVE alerts will be used only for critical communications. Standard text messaging rates may apply. Call 202-885-2550 or e-mail helpdesk@american.edu with any questions.

To register for RAVE Alerts:

1. Visit <https://www.getrave.com/login/american>.
2. Register using myau.american.edu username and password.
3. Enter first and last name. Select “Finish”. Select “Continue”.
4. Select “Activate RAVE Now” to add a mobile phone number.
5. Enter a ten-digit mobile number and confirm carrier. If the incorrect carrier is displayed choose carrier from the dropdown list. Select “Next”.
6. A four-digit confirmation code will be sent to the mobile phone via text message. The four-digit code should be input into “Confirm Mobile Number”. Select “Finish”.
7. Agree to Rave Terms and Conditions. Select “Submit”.

Disability Support

WCL is committed to making all of its educational opportunities accessible to students with disabilities by providing them with reasonable accommodations. Accordingly, students are encouraged to notify the school of their disability as early as possible after submitting seat deposits. The notification and accommodation request process is confidential.

The Office of Student Affairs works closely with the Academic Support and Access Center (“ASAC”) on main campus to coordinate a variety of services for students with disabilities. Services vary according to the type and level of impairment experienced by each student. To ensure that appropriate accommodations are received in a timely manner, students should follow these general procedures in their request for accommodations:

- Students should contact the ASAC for ADHD/learning disabilities or for other disabilities to discuss the request for accommodations. Students seeking accommodations will be asked to fill out paperwork and/or provide documentation of their disability. For more information on guidelines for documentation of disabilities and services provided by the ASAC, visit <https://bit.ly/ASACMainPage>.
- ASAC will recommend a plan of accommodation based on evaluation of documentation provided and discussion with students. The recommended accommodations will be forwarded to Kelly Mayer, Student Affairs and ADA Senior Coordinator, who is responsible for implementing accommodations for Candidates with disabilities. Students must contact Kelly directly at kmayer@wcl.american.edu to discuss recommended accommodations and policies for the implementation of accommodations.
- The Office of Student Affairs handles the implementation of accommodations for all law classes and the administration of exams for all Candidates with disabilities. All accommodations will be implemented in a manner that seeks to assure confidentiality. Students with disabilities are expected to comply fully with all examination policies. For more information, please review the Handbook for Applicants and Students with Disabilities, available at <https://bit.ly/handbookdisabilities>.

Office of Diversity, Inclusion and Affinity Relations

The Office of Diversity, Inclusion and Affinity Relations works with all segments of WCL—students, administration, faculty, and alumni—to address issues that are important to maximizing the participation of groups who have been historically or statutorily discriminated against. The Office’s mission is to develop programming relevant to admission/retention, academic success, professional preparation, and cultural celebration among those communities and generally within the Law School community. The Assistant Dean for Diversity, Inclusion and Affinity Relations, Lisa Sonia Taylor (taylorl@wcl.american.edu), counsels students individually, collectively, and in collaboration with the Dean of Students and other advisors.

Student Health Center, Insurance and Immunizations

American University and the Student Health Center (“SHC”) are committed to keeping students healthy so they can achieve success in all areas of campus life. The SHC provides primary care medical services, health education, and wellness programming to students. The SHC also provides gynecological care, immunizations, and allergy injections. The SHC is open during Fall and Spring semesters from 9:00a.m. to 6:00p.m. on Mondays, Tuesdays, and Thursdays, and from 9:30a.m. to 6:00p.m. on Wednesdays and Fridays. During Summer, it is open 8.00a.m. to 4.30p.m. from Monday to Friday.

Although the SHC can meet many student health care needs, it may be necessary for students to access additional medical services. Health insurance will help cover the costs of additional care and services. To schedule an appointment at the SHC, you must register on the SHC website at <https://bit.ly/SHCRegister>. Urgent Care advice and the free 24-hour, 7 days a week Nurse Advice Line can be accessed [here](#).

For more information on the SHC, please visit <https://bit.ly/studenthealthcenter>.

Health Insurance Requirements

Health insurance is required of all full-time degree, resident, and international students with F1 and J1 visas. If a student falls into one of these categories, the student health insurance premium is automatically assessed to their student account unless waived through the MyAU portal. The American University student health insurance plan has been developed especially for AU students. The plan provides coverage for accidents and sicknesses that occur on and off campus and includes special cost-saving features to keep the coverage as affordable as possible.

Waiver Information

Student Health Insurance Waivers are available before the start of each semester through the MyAU portal. Detailed instructions on how to waive health insurance are available in Appendix E. Failure to submit the waiver will result in automatic billing of the full health insurance amount to your student account. For more information, visit <https://bit.ly/HealthInsWaiver>.

Immunizations

District of Columbia law requires that all students under age twenty-six enrolled in schools within the District provide proof of having had various immunizations. All students must comply with current university and WCL requirements regarding COVID-19 vaccination. For more information, students should consult the [Student Health Center](#).

Books

Professors provide information about textbooks online via <https://my.wcl.american.edu> and Canvas as well as on their syllabi. Textbooks are available at the University Bookstore (Butler Pavilion, Main Campus) or the Equal Justice Foundation Book Sale. Students may also purchase their books through an online retailer or borrow a book from the Reserve section of the Library.

Identification Cards

Identification cards are available in the Housing & Dining Programs Office on the First Floor of Anderson Hall (Main Campus). ID cards are issued Monday through Thursday from 9:00a.m. to 5:00p.m.; Friday from 11:30a.m. to 5:00p.m.; and Saturday from 11:00a.m. to 3:00p.m. More information is available at <https://bit.ly/WCLHousing>.

ID pictures are used by the school for specific purposes, including class rosters.

Students should never use Social Security numbers on American University documents. A student's only ID number is their AU ID number, which is found on their ID card.

Course Information

Information about classroom locations and syllabi is available on MyWCL and Canvas and by clicking on courses through the Course Listings page at <https://bit.ly/WCLClassLocations>.

Working Part-Time at American University

American University Washington College of Law ("WCL") and American University ("AU") offer students several options for on-campus employment. Students on visas must confirm their employment eligibility with ISSS before commencing working or risk deportation.

WCL Office of Career and Professional Development (OCPD) and CareerLink

The OCPD collaborates with J.D. and LL.M. students, alumni, and employers to create opportunities for professional development training, networking and recruiting. At Orientation, you will be provided with login details for accessing CareerLink, an online portal utilized by OCPD and WCL to share job postings (on and off-campus), events and to schedule appointments with OCPD advisors. Visit the [OCPD webpage](#) for more information.

Dean's Fellowships

WCL offers part-time Dean's Fellowships during the semester. Fellows typically work for a program or professor for 10- 20 hours per week and are tasked with various research, programming, and administrative projects. Professors and programs advertise available roles through CareerLink and the MyWCL portal. Interested students can also contact professors directly. If you are an international student, you must apply for initial work authorization if offered an on-campus role for the first time, and subsequent work

authorization for each semester during which you are working in an on-campus role. See [ISSS's employment pages](#) for more information.

Library Circulation Desk

The WCL Pence Law Library offers a limited number of positions for students to work at the Circulation Desk during the semester. Students typically work 3-10 hours per week on an as-needed basis. To apply, email your resume to circ@wcl.american.edu.

MyAU Portal – Work @ AU

Your MyAU portal offers links to available on-campus student and staff positions through the “Work@AU” tab, and through Workday if you have existing access as a student employee at AU or AUWCL..

Working During the First Year

First-year candidates whose citizenship or immigration status permits may work up to 25 hours per week with permission of the Director of the SJD Program. The SJD Program in the first year is full time and in residence. Work-related obligations will not be a basis for excused absence from the Colloquium or for extension of any deadlines. Students who fail to complete the requirements of the Colloquium will not pass the course, which means forfeiting credit and tuition for the course. Students should critically assess their capacity to work during the first year and fully participate in the SJD Program. The Director, in considering permission to work, will consider such factors as the nature of the work and its relationship to the candidate's dissertation.

Jacobs and Cassell Fitness Centers

All American University Students have access to the fitness center but must have an American University ID Card to gain access to the facility. The Jacobs Fitness Center is located in the Sports Center on American University's main campus and the Cassell Fitness Center is located on the first floor of Cassell Hall, the residence hall on the northwest corner of American University's main campus. During the first visit to the fitness center, new students will be required to fill out a registration form. Access to the facility ceases when the student is no longer registered for classes. For May graduates, membership ends on May 31st. Students may purchase an Alumni membership in order to continue access to all fitness facilities at <https://bit.ly/AUFitness>.

Counseling Services

WCL students can meet with Professor Cavanagh or Associate Dean of Student Affairs David Jaffe to discuss personal issues in their lives affecting their studies, but are urged not to wait for small matters to become major issues before scheduling a meeting.

Whether familial, financial, academic, or otherwise, WCL assures a confidential and safe space in which students can come to figure out how to address matters affecting them.

Additionally, there are [Counseling Center Services](#) available at WCL (see “Personal Counseling”). Students should call 202-885-3500 to schedule an initial consultation. Due to licensing laws, you must be physically located in Washington, DC, not Maryland or Virginia, at the time of your appointment..

Other resources for counseling assistance include the American University Counseling Center (<https://bit.ly/AUCounseling>), which provides on-site counseling services and, in emergency situations, referrals to off-campus counseling services; the Student Health Center (<https://bit.ly/AUSHC>), which has a psychiatrist on staff; and the D.C. Bar’s Lawyers Assistance Program (<https://bit.ly/DCBarAssistance>), a free and confidential program assisting law students who experience problems that interfere with their personal lives.

Notary Services

WCL has in-house Notary Services available. Please contact the Pence Law Library at 202-274- 4350 for availability and costs.

Chapter 11: The SJD Community

Individual Biographical Webpages

As part of the SJD Program's presence on the WCL website, the SJD Program includes individual biographical webpages for each of our SJD Candidates. Although Candidates are not required to maintain a web presence, this is a practice that is common at many SJD-granting institutions and the SJD Program encourages all Candidates to consider submitting biographical information. These web bio pages are a good way for Candidates to promote their research endeavors and raise their profiles within and outside our law school community. Some Candidates use their web bios as online profiles for prospective academic and professional appointments. The SJD Program will use the pages to publicize Candidates' accomplishments.

To build and upload these bio pages, Candidates should send the following information, as it should be displayed on the WCL website, to Dinesh Napal (dn5524a@american.edu) by **September 1st of the residency year**:

1. The Candidate's name as it should appear on the bio page.
2. The Candidate's academic and professional affiliations (other than WCL).
3. The working title of the Candidate's dissertation.
4. The Candidate's fields of study and professional expertise.
5. The Candidate's higher educational academic credentials, including schools and degrees/graduating years.
6. Any notable past or present academic appointments and fellowships.
7. Any additional information including languages spoken or read.

The information on these pages is very selective and designed to highlight SJD Candidates' most important credentials. The SJD Program depends on each Candidate to provide the specific points to highlight. When crafting their biographies, Candidates may wish to review current biographies on the SJD Program's page for guidance. The page is available at <https://bit.ly/sjdcomm>. Candidates may also contact Dinesh Napal with any questions about the process or to provide biographical updates.

Connecting with Other Students

In-Person

Social and educational events abound in the Program and at WCL. SJD Candidates are encouraged to attend as many events as they can, consistent with their academic responsibilities.

WCL events are almost always free of charge. These events are an excellent way for SJD Candidates to meet other WCL students.

Social Media

The Program's web presence is a place for students, alumni, faculty, staff, and friends of the Program to meet online. SJD Candidates are invited to join the Whatsapp group organized by the WCL LL.M. Board and take part in events and discussions (for further details, please contact llmboard@wcl.american.edu).

SJD Community Events

The SJD Program holds a welcome reception each Fall. All SJD Candidates are encouraged to attend. The Program encourages the SJD Candidates to be active socially both among the SJD Candidates and the greater WCL Community.

Providing Feedback to the SJD Program

The SJD Program very much values student feedback and constructive criticism. Students are encouraged to contact Professor Cavanagh (cavanagh@wcl.american.edu) to discuss any issues or problems.

Chapter 12: Honor Code

All students, but especially internationally trained students and those who have not recently graduated from law school, are encouraged to review citation rules and norms to ensure that they do not inadvertently violate the Honor Code. *The Rowman & Littlefield Guide to Writing with Sources* (4th Edition) provides a helpful refresher and is a quick read. Several copies of this book are available in the Pence Law Library.

Below is a brief overview of the Honor Code, with a description of its basic structure and operation. By its design and existence, the Code gives notice that students at WCL have entered a professional school that adheres to the high standards of the legal profession and enforces those standards in the same self-regulating manner as the profession.

The full text of the Honor Code of American University Washington College of Law is available in Appendix D to this Handbook, in the WCL Catalog, and at <https://bit.ly/WCLHonorCode>. The [American University Student Code of Conduct](#) is incorporated into the Honor Code.

Basic Structure and Operation

The Code is modeled on the process used by a court of general jurisdiction to resolve disputes with the exception that all members of the Law School community have an affirmative obligation to report facts that establish reasonable grounds to believe a violation of the Code has occurred.

Failure to do so and to assist the Honor Code Committee (all full-time faculty members and students appointed by the Student Bar Association) in determining formally if a violation has occurred are specific violations of the Code. Reports of possible violation are investigated by a prosecution team consisting of a faculty prosecutor appointed by the Dean and a student prosecutor appointed by the President of the Student Bar Association. If the prosecution team concludes that clear and convincing evidence exists to support a prima facie case of violation (one which resolves any and all conflicting factual inferences in favor of the prosecution), the team may elect to proceed in two ways.

1. It may elect not to formally charge a student with a violation if he or she agrees to accept and abide by sanctions deemed appropriate to the violation by the team. In this situation, no report of the violation is made to any court or bar examining authority inquiring of the Law School about the student's character and fitness to practice law.
2. It may elect to formally charge a student with a violation and either proceed to a negotiated resolution approved by the Dean, or ask the Dean to appoint a panel of the Honor Code Committee (three faculty

members and two students) to hear and determine the matter. The panel may be appointed initially or later if efforts to reach a negotiated resolution fail.

In either case, the fact that a student was formally charged with a violation means that notice of this fact and the outcome of the proceeding (negotiated resolution or hearing) are always reported to any court or bar examining authority inquiring of the school about the student's character and fitness to practice law. There is no right of appeal from any negotiated resolution of a charge of violation of the Code.

Hearings before a panel of the Honor Code Committee are conducted in an adversarial manner. The prosecution team presents its case through witnesses, documents, and other forms of evidence, and the student presents her or his case in the same manner. Students are permitted to be represented by legal counsel at the hearing stage. The normal rules of evidence are suspended except for common law and constitutional privileges. If the panel determines that a violation of the Code has occurred, it must recommend to the Dean the sanctions it believes should be imposed. A graduated scheme of sanctions is available, ranging from an oral reprimand (not made a permanent part of a student's record) to expulsion, with several stages between these two extremes. The Dean may accept the panel's sanctions recommendations or reduce them but cannot increase them. A student found to have violated the Code by a panel of the Honor Code Committee may appeal the finding of violation to the Standards, Evaluation, and Grievance Committee and the recommended sanctions to the Dean. If the ultimate outcome of the proceedings is a finding of violation, notice of that outcome is published in the Docket (the School's official legal newspaper) without revealing the student's name. If the ultimate outcome is a finding of no violation, the student may have a notice to this effect placed in the Docket.

Activity Prohibited by the Code

The Code defines what constitutes academic dishonesty and prohibits it. The Code also incorporates the non-academic, or conduct, sections of the American University Code of Conduct and the American Bar Association Model Code of Professional Responsibility. There are academic, conduct, and ethical violations of the Code. Academic violations include familiar matters such as cheating on exams, plagiarism, and interfering with the "broadest possible access to library resources." Conduct violations include harassment, failure to abide by any university or Law School policy, and adjudicated violations of local criminal law occurring on campus. Ethical violations include acts of misrepresentation such as those made to secure admission to the school, on resumes submitted when seeking employment, and when seeking financial aid. Ethical violations can also occur off-campus in professional work settings and educational settings (externships, for example).

Conclusion

The success of the Code in fostering the development of appropriate standards of behavior rests on several sources: knowledge and understanding of the code; its reason for being; affirmative embracing of the Code as the standard of academic, personal, and ethical conduct; and the commitment to implement the Code by example, by counseling compliance when appropriate, and, when necessary, reporting possible violations.

Chapter 13: Financial Information

Student Accounts and Billing

Office of Student Accounts/AU Central

The Office of Student Accounts (Student Accounts) is the central billing resource for American University Students. Student Accounts generates and mails statements, accepts tuition payments, and provides other financial services. These services do not include Financial Aid services such as grants, scholarships, loans, and work study. Student Accounts can be reached at 202-885-8000, aucentral@american.edu, or during business hours at 201 Asbury Building, Main Campus.

Eagle Finance

Eagle Finance is available by logging into the [MyAU portal](#). It provides detailed, dynamic, and user-friendly accounting of student finances, for current and prior terms, with a breakdown of Charges, Payments, Anticipated Financial Aid, and Refunds. To access Eagle Finance:

1. Log into MyAU.
2. Click on the “Finances” tab in the top middle side of the screen.
3. Under the “Finances” drop-down list, select “Student Account”.
4. Select “Eagle Finance” option on the right side.

Through Eagle Finance, students may view the following:

eBill

Students can view their most up-to-date bill using eBill in Eagle Finance. eBill can be used to view, print, and email the PDF bill. To access eBill in Eagle Finance, click the “Account Activity” tab, select your term, and click “View Statement”.

Payments Received

Payments received are broken down into Student, Financial Aid, and Sponsor Payments.

Online Payments

The student account bill can be paid online with Credit card payments (Visa, MasterCard, or Discover) in addition to eCheck payments. Credit card payments will be charged a non-refundable convenience fee of 2.55%.

Financial Aid

Description of individual, anticipated, and disbursed award amounts including reasons why award amounts have not disbursed.

Refunds

Refunds processed and the method of the refund (e-check or paper check).

Living Expense Refunds

Loans taken out in excess of tuition and fees can be used to support students' living expenses while attending American University. A student may borrow up to the cost of attendance of attending American University as listed on <https://bit.ly/FinAID>. After accepting the awards package with the Financial Aid Office, the Student Snapshot will indicate when a credit has been posted on the student account.

Refunds will be processed within five to seven business days after the loan is paid to the student account and a refund request is on file. Loans generally are disbursed the first week of classes, provided all paperwork is complete. To receive a living expense refund:

1. Log into MyAU.
2. Click on the "Finances" tab in the top middle side of the screen.
3. For direct deposit, under the "Finances" drop-down list, click "ACH Bank Information" and enter the required information for a direct deposit to your account of choice.
4. Under "Finances," select "Student Accounts Refund Request" and complete the online form.

Billing

Student Accounts provides information on student charges on the Student Snapshot page. To pay a bill, Student Accounts accepts payments online, by mail, or in person. Please visit <https://bit.ly/AUStudentAccounts> for more information.

Bills are due before the end of the Add/Drop period. Any balance after pending financial aid is deducted is due immediately. Students must ensure that lenders and the Financial Aid office have all required information so that funds can be released in a timely manner.

Third-Party Billing

If a sponsoring organization, employer, or scholarship program will be paying all or part of your tuition expenses, please contact Professor Cavanagh to make the necessary arrangements with Student Accounts.

Financial Aid Policies

Eligibility

The following requirements must be met to receive financial aid:

- **Enrollment:** To qualify for federal or private loan support, students must be enrolled in a degree program at least half time. For financial aid purposes half-time enrollment is defined as a minimum of six credit hours.
- **Citizenship:** Only U.S. citizens and eligible non-citizens are eligible to receive federal loan assistance, secure private loan funds, and apply for WCL need-based grants.
- **Satisfactory Academic Progress (SAP):** Full-time students must complete 24 credit hours per academic year and maintain a minimum 2.00 cumulative grade point average. Part-time students are required to complete 80% of attempted academic year credits and maintain a cumulative 2.0 grade point average. SAP is measured once yearly at the end of the school year.

Additional requirements may apply for various programs.

International Students

International students are required to document their ability to finance educational expenses prior to departure for study in the United States.

Neither federally funded programs administered by WCL nor WCL need-based grants are available to international students. Students are encouraged to explore other sources for possible funding:

- **Private Loans:** Some U.S. lending institutions will provide loans to foreign nationals. These loans are often expensive for non-citizens and usually require a co-borrower who is a U.S. citizen or a permanent resident residing in the United States.
- **Employment:** Employment for international students is permitted under strictly defined and enforced regulations. Students may contact the University Office of International Student & Scholar Services (ISSS) at <https://bit.ly/ISSShomepage> for additional information.

- Home embassies in Washington, D.C.: Students' home embassies may be a source for scholarship funding or information on funding sources.
- The Foundation Center: A reference library for funding sources is located at 1001 Connecticut Avenue, NW, Suite 938, Washington, D.C. 20016.
- *Funding for U.S. Study: A Guide for Foreign Nationals*. This reference book provides a listing of scholarships, grants, fellowships and paid internships. It is available for \$39.95 from the Institute of International Education, 809 United Nations Plaza, New York, N.Y. 10017-3580, phone: 212-984-5412.

Financial Aid Checklist

The following steps are necessary for timely processing of student financial aid. Attention to deadlines and procedures ensures maximum consideration for aid offered by WCL.

- Complete the Free Application for Federal Student Aid (FAFSA) by the March 1 priority deadline at www.fafsa.gov. The AU Title IV Code is **001434**.
- File federal income tax returns early to report actual income figures on the FAFSA. Estimated figures may be used if tax filing is delayed.
- Submit Student Aid Report (SAR) corrections to the FAFSA processor promptly.
- Respond to requests for additional documentation promptly. Income documents are most often requested.
- Accept the financial aid offer at myau.american.edu.
- Complete and sign the [Master Promissory Notes](#) (Subsidized/Unsubsidized and Graduate Plus) for federal loans, if necessary.
- Complete [Loan Entrance Counseling requirements](#). *This requirement applies to first-time borrowers at AU.*
- Review credit reports for potential problems when considering supplemental loan/Grad PLUS assistance. Contact any of the following credit bureaus for information: Experian (www.experian.com), Equifax (www.equifax.com) or TransUnion (www.tuc.com).
- File the supplemental loan application early to ensure receipt of funds by the start of classes. Refer to "Types of Aid – Supplemental Loans" on the financial aid web site for details. Incoming students should apply for supplemental loans by June 1st and continuing students should apply by July 1st. Note: The Grad PLUS application is filed at <https://studentloans.gov/>.
- Organize and keep a record of all financial aid related documents and correspondence. Pay particular attention to notices from your lender(s).
- Contact the Financial Aid Office at 202-274- 4040 if you have further questions.

Throughout the process students are encouraged to maintain communication with the Financial Aid advisor assigned to them. All e-mailed correspondence with the Financial Aid Office must come from the student's AU email account. Students should check periodically through the process to make sure they are current with all forms and applications.

Chapter 14: Pence Law Library

Hours

During the fall and spring semesters, Pence Law Library is staffed Monday through Thursday from 8:00am to 11pm, Friday from 8:00am to 8pm, Saturday from 10:00a.m. to 8pm, and Sunday from 10am to 11pm.

During the summer and intersessions, the library is still staffed, but the hours are abbreviated.

Access

WCL students may access the Library 24-hours, 7 days a week using their AU ID card. Access is granted by swiping a current AU ID over the card reader to the right of the Library's entrance. Library services are not available outside of regular Library hours.

Users without AUID cards cannot access the library after 5:00p.m. on weekdays or at any time on weekends.

During the reading and exam period, access to the Pence Law Library will be limited to AU/WCL faculty, staff, and students, WCL Alumni, and Government Depository users.

Students can also [log in to their own library accounts](#) to view their current loans, renew items and browse the Library Catalog.

Services

The Library offers a variety of services to students. You can find this information at <https://bit.ly/WCLlibrary>.

AudioCaseFiles

Pence Library provides access to CVN Law School's AudioCaseFiles and CVN courtroom video. Through this service, students can access a collection of audio and video files of actual court proceedings. Students can use these files to learn about advocacy techniques in practice. Registration instructions can be found at <https://bit.ly/LibraryAudioFiles>.

Center for Computer Assisted Legal Instruction (CALI) Lessons

The Center for Computer Assisted Legal Instruction offers lessons online for law students. These lessons range from first year doctrinal subjects to particularized areas of advanced law. The library offers an account

for students who wish to take advantage of these lessons. CALI can be accessed at <https://www.cali.org/lesson>. Contact a librarian at reference@wcl.american.edu for the access code.

ExpressO

ExpressO is a service operated by the Berkeley Electronic Press. It allows for easy submission of manuscripts to over 750 law reviews, including the top 100 reviews. The Library maintains an institutional account which can be accessed by WCL Students and Faculty to submit law review articles to the journals of their choice.

Visit ExpressO at <https://www.law.bepress.com/expresso>.

Interlibrary Loan (ILL)

ILL is a service that allows WCL faculty, students, and staff to borrow books and other materials from libraries around the world, including American University's Bender Library, when they are not available in the Pence Law Library. The Pence Law Library ILL service is available only to WCL faculty, students and staff. It can be accessed from <https://library.wcl.american.edu/ill.cfm>.

Loaner Laptop Program

Under circumstances in which a student's personal laptop must be returned to the manufacturer for repair, a student may borrow - for a period of one week - a PC or Mac laptop from the Circulation Desk at the Pence Law Library. For more information, please visit <https://bit.ly/LoanerLaptop>.

Reference Services

Professional reference assistance is available in the main reading room on the first floor of the Library.

Reference desk service during the academic year is available:

Monday – Thursday 10:00a.m. – 8:00p.m.

Friday 10:00a.m. – 2:00p.m.

Saturday and Sunday Noon – 6:00p.m.

You may contact a reference librarian at reference@wcl.american.edu or by telephone at 202-274-4352.

You may also [send an instant message to a reference librarian](#) during the following hours:

Monday – Thursday 10:00a.m. – 8:00p.m.

Friday 10:00a.m. – 2:00p.m.

Saturday and Sunday Noon - 6:00p.m.

WCL Exams Database

The exams database contains all of the examinations placed on reserve with the Pence Law Library by professors since 1990. If there are sample answers on reserve, these answers will be linked to the appropriate examination. A few exams will require a password for access; most will not. Exams can be searched either by course or by professor. Access them at <https://bit.ly/WCLExams>.

Circulation Times

The following items are available for three-hour circulation: loose-leaves, supplemental treatises, reporters, codes, statutes, European Union materials, reserve books, journals, and photocopied materials. Audiovisual items are available for twenty-four hours. Most books in the collection are available for a six-week circulation.

Renewals

Unless a hold has been placed on an item, renewals may be requested either in person at the Circulation Desk; by telephone at 202-274-4300; or online from the [Student Library Account page](#). Reserve material and loaner laptops must be renewed in person at the Circulation Desk.

Overdue Books: Fines & Policies

Overdue fines are \$0.25 per day or, for reserve material, \$1.00 per hour. The library may adjust these fines from time to time. The default cost of a lost or damaged book is \$100 with a processing fee of \$20.00. Fines and replacement charges must be paid by check or money order by the end of each semester or a University-wide stop will be placed on your account.

The Library notifies borrowers of overdue items within one day (1st notice) and seven days (2nd notice) of the due date. Items are billed after being overdue for fourteen days. Overdue notices and bills are sent via email.

There is a seven-day grace period when non-reserve late items may be returned without owing any fines. Books returned after the grace period has ended will be subject to fines accrued from the date the book was due.

Library Resources

Pence Law Library has a variety of databases available to all WCL staff, faculty and students. The A-Z list of resources is available here: <https://bit.ly/PenceResources>.

Beginning June 24, 2024, Pence Law Library will be switching from EZproxy to a new authentication platform, OpenAthens, for accessing most electronic books, journals, and articles. For more information on this change, visit this [relevant Library guide](#).

Group Study Rooms

Group study rooms must be signed out at <https://my.wcl.american.edu>. The use of group study rooms is limited to groups of WCL students. A group is defined as two or more students using the room concurrently. Reservations must be booked in contiguous time blocks up to two hours per day.

Group study rooms are subject to availability and reservations may be modified at any time by Library staff. Group study room use is limited to two hours per user per day to ensure availability for all users. Individual students may use empty rooms but may not reserve rooms. Students using a room without a reservation may be asked to leave the room by the group with a reservation.

Furnishings from other parts of the Library may not be brought into group study rooms without Library authorization. All Library and Law School policies apply to students using group study rooms. These policies are subject to change.

Chapter 15: Technology

Technology Support Services

The Student Help Desk is located on the Terrace Level of the Warren Building in Room NT10.

The Help Desk is open Monday through Friday from 8:30a.m. to 6:00p.m. The Help Desk can also be contacted at helpdesk@wcl.american.edu.

All audio-visual needs at WCL are handled by the Audio/Visual department, which is also located in Room NT10. AV is open Monday through Friday from 8:30a.m. to 6:00p.m. AV can also be contacted at av@wcl.american.edu.

The [AU Central Office of Information Technology](#) can provide support for various general AU queries and offers [free technology workshops](#) for any students interested in technical training.

Email

AU has transitioned from Gmail to Outlook/Office365 as the service provider for email services. Further information on this is available [here](#), and for any issues, please contact the Office of Technology at helpdesk@american.edu or via 202-885-2550.

WCL's email system is operated through Office365, accessible via mail.american.edu. Students are automatically assigned an email address upon matriculation and should use this address and their MyAU password to access their email. Students should check this account on a regular basis as it is the primary means by which the law school communicates with students (including MyWCL).

The MyAU portal also allows students to view their course schedules and register for upper-level courses. Any technical problems should be reported to the Office of Technology (helpdesk@wcl.american.edu). A useful guide for getting oriented to technology at WCL can be found at <https://bit.ly/WCLtechoffice>.

The AU-sponsored Outlook 365 service is available to all students who are not also in a faculty or staff position at the university. The AU-sponsored Outlook address takes the form of "username@american.edu".

Students can access their email in a variety of ways, including access via the Outlook Web App provided by Office365 and supported IMAP clients, such as Mail for MacOS/iOS. For more details on using IMAP clients, see the [Knowledge Base](#) (requires MyAU login).

Student email accounts are kept open for a few months after students graduate. Following graduation, students can request an Alumni email account by emailing helpdesk@american.edu.

Forwarding AU Email Accounts

If students do not plan to check their american.edu student accounts, they **must** forward this email to the account they check most often. Failure to do so can result in missing emails regarding classes and graduation and may impact ability to graduate. WCL Faculty & Staff will email students **only** at their american.edu account. Office 365 instructions on forwarding email are available [here](#).

Duo Multi-Factor Authentication

To enhance security, AU requires that students register for and download the Duo Multi-Factor Authentication app to their smart device. Duo is required for logging in to AU systems. Students must review information on Duo and register here: <https://www.american.edu/oit/security/mfa.cfm>.

MyWCL

MyWCL is a central communication tool for WCL. Students can customize their MyWCL by specifying their favorite departments and topics. They will then receive an e-mail highlighting events that may be of interest to them. Technical support for MyWCL is available by email at mywcl@wcl.american.edu.

Many courses have a corresponding MyWCL page that students enrolled in the course may access. Professors can use MyWCL to contact students, list their course hours, and distribute exams, among other things. To sign up to receive course alerts, students should visit the course page and select “Manage Course Alerts” on the left-hand side. A list called Course Alert Configuration will appear and students should select the option “Course Announcements.” A drop-down menu will appear and students will be able to choose settings to receive course announcements. This must be done individually for each class.

SJD Program on MyWCL/Canvas

The Program has a dedicated page on MyWCL listed on the top bar under Programs. All Candidates enrolled in the Program are given access to this page. Students experiencing issues viewing the SJD Program page should contact mywcl@wcl.american.edu. In the 2024-25 academic year, course materials for the first-year required Doctoral Colloquium class will be transitioning from MyWCL to Canvas. The Canvas system may be used for your other audited classes or summer programs. More information on Canvas, including tutorials and user guides, can be found [here](#). Please look out for further announcements.

Zoom

AU students have access to institutional Zoom accounts which they should use for virtual communications as appropriate (e.g. meetings with Faculty Advisors, committee members, other faculty or staff and external guests). When logging onto Zoom, students should sign in using the “single sign-on” option and enter the “american.zoom.us” domain name, which should redirect to the MyAU login page, where AU credentials can be entered to log into Zoom. AU has developed a quick reference guide for Zoom virtual meetings, available [here](#), and offers further resources on remote working available [here](#).

Podcasts

The WCL community is an active one, with a regular schedule of conferences, lectures, student events, and other interesting programs. The Office of Technology podcasts some events that take place at WCL, so students, faculty, and the world can listen when it is convenient for them. In addition, the Office of Technology can stream media to allow for live and/or on-demand attendance via webcast at WCL events.

The Center for Human Rights and Humanitarian Law runs a [regular podcast for the Human Rights Brief](#), which may align with the research interests of some Candidates.

A [calendar of events at WCL](#) is also available on the WCL website. Students can check this calendar ahead of time for events that interest them and, if possible, rearrange their schedules to attend a daytime event.

Printing

To print through WCL’s printers, students must follow instructions available [here](#) or log into Papercut (**only** on campus) to upload documents to web printing services, which once uploaded can be printed at any WCL printer once the student has swiped their card at the printer. For any help, please contact printing@wcl.american.edu.

At Orientation, all new students are provided with \$100 in printing credits and will receive \$100 per semester for printing needs. To add more money for printing services, students must log into their MyAU account.

WCL Virtual B&W and WCL Virtual Color print drivers will currently print to the Pence Law Library's Student Convenience Centers:

- Room N100 on the first floor
- Rooms N209 and N274 on the second floor

- Room N309 on the third floor

Chapter 16: Dining, Transportation and Facilities

Dining

EagleBucks

EagleBucks can be added to students' AU ID cards as a convenient, cashless way to make purchases. Find out more [here](#). They may be used on campus and at some popular off-campus businesses.

WCL Cafeteria

The WCL Cafeteria serves breakfast, lunch, and dinner Monday through Thursday. On Fridays, only breakfast and lunch are served.

The Atrium Café is open all day on Monday through Thursday, with more limited hours on Fridays.

The cafeteria also has vending machines for snacks and drinks, a water purifier that provides cold and hot water, refrigerators, and microwaves so students can bring their own meals.

Meetings and Events

At WCL, organizations and offices regularly host lunch programs, some of which cater food. Students may RSVP for these events and meetings.

Local Eateries

The local community offers dining options within walking distance of WCL that provide alternatives to the cafeteria should students wish to venture out beyond campus. Restaurants include Chick-fil-A, Chipotle, Popeyes, Subway, Panera Bread, Z-Burger, Whole Foods, Cava, Nando's Peri-Peri, and Guapo's.

AU Main Campus

American University's Main Campus has a variety of dining options including Baba's Pizza, Subway, Qdoba, Freshens, True Burger, District Pickle, Halal Shack, Starbucks, and Hissho Sushi. EagleBucks accounts are accepted at all dining locations on the Main Campus at 4400 Massachusetts Avenue.

Metro, Bus and Shuttle Information

The closest Metro stop to WCL is Tenleytown/AU on the Red Line. From the Tenleytown/AU Metro stop students can take the free American University shuttle to Main Campus. The [Blue Route](#) services both WCL Tenley Campus (stopping at Nebraska Avenue NW at the second driveway to the WCL Tenley Campus), Main Campus and parks next to the Metro station on 40th Street. Students may use the AU website or the TransLoc app to track AU shuttle buses. Up-to-date hours of operation and full information on all shuttle lines can be found [here](#).

OnDemand/TransLoc

The OnDemand service option for AU students, faculty and staff is designed to enhance service during periods of low ridership during non-peak hours. Through the TransLoc app, users are able to request rides. Find out more [here](#).

Main Campus Maps

The main AU website has a detailed campus map available [here](#). A map of the WCL Tenley campus is also available [here](#).

Parking

Students may not park in the neighborhood streets surrounding the Law School. Students are asked to assist in WCL's efforts to have a good relationship with the neighbors and abide by these rules. Students with a zone three parking permit rescind their use of the permit near WCL and therefore, possession of a valid zone three sticker does not constitute an exclusion from the parking policy.

If a vehicle is identified and is registered to a student or family member of a student of the Law School, an email will be sent to the student notifying them of the offense. Failure to submit payment and/or appeal within fifteen days of the ticket may result in a hold being placed on the student's account, preventing them from further registering for classes. For more information, contact Facilities Management at 202-274-4008.

Parking Permits

SJD Candidates can purchase a permit to park in the WCL Garage. Parking permits are sold throughout fall, spring, and summer semesters. Fall permits expire December 31st, while spring, summer, and year permits expire August 15th.

Between semesters, students who purchased only a fall semester permit are required to renew their parking permit stickers by bringing their hang tags to the Facilities Management Office. A permit may be purchased in the Facilities Management Office, Room YT05, during normal business hours. An online parking permit application can be filled out at <https://bit.ly/WCLParking>. All parking permits are billed through Student Accounts.

Parking Fees (as of July 2024)

	Full-Time Student	Part-Time Student
Semester	\$506	\$240
Academic Year	\$1012	\$480

Further information is available [here](#).

Parking Policy

The purpose of this policy is to address the parking and transportation requirements of the faculty, staff and students of WCL. In accordance with District of Columbia Zoning Order 949 and American University’s Good Neighbor Policy, parking is restricted on the streets surrounding American University’s main campus and the Washington College of Law. All members of the university community, including students, faculty, staff, visitors and guests are required to park on campus or to use public transportation when coming to any AU affiliated campus.

All students must electronically sign this policy to indicate they have read and understand its terms, regardless of whether they drive to school or are eligible to have a car on campus. Signing the policy is a condition of student registration and signifies acceptance of its terms and conditions during the entire term of a student’s relationship with WCL. An electronically signed policy must be submitted by all accepted students prior to their arrival at WCL. The parking policy is available [here](#).

SJD Candidates may park in the WCL Garage and the Katzen Garage. Parking in the WCL Garage is on a first come, first served basis. If the WCL Garage is full, parking is available in the Katzen Garage.

Enforcement

WCL will regulate the following:

- Impose fines and collecting fees
- Enforce parking restrictions

- Handle appeals
- Issue WCL Garage Parking Permits

Fines

In addition to fines assessed for general parking violations on university property, any member of the WCL community who does not park on campus or fails to register a vehicle in an effort to circumvent the parking policy will receive a fine for the first offense. Each repeated violation will result in an additional fine. All fines must be paid in full or an academic hold will be placed on the individual's student account. Fines must be paid in full to receive final grades, register for the next semester and/or graduate. The WCL Facilities Management Office is responsible for imposing fines, collecting fees, enforcing parking restrictions, making decisions on appeals and issuing permits for the campus and surrounding community.

Appeals

Questions or concerns regarding parking should be directed to the Facilities Management Office. If still not satisfied with the resolution, a person who has been issued a violation ticket can appeal the ticket. An appeal of any violation must be submitted in writing to the Appeals Board within 15 calendar days of issuance.

The Appeals Board comprises members of the WCL Community, the Assistant Director of Facilities, two students designated by the SBA President, and one faculty member. The Board meets regularly throughout the year to review appeals.

Questions

Students may contact the Facilities Management Office at 202-274-4008 with any questions.

Facilities

WCL Information Desks

WCL Information Desks provide convenient services to assist guests with general questions and concerns.

Information Desks are in the Warren and Yuma building entrances. The Information Desks are open 5 or 6 days a week, except when WCL is closed due to holidays, administrative closings, or inclement weather.

Requests can be submitted 24 hours a day via email. Ways to connect:

- In-person at the Warren or Yuma entrances.
- By telephone at 202-274-4016.

- By email at infodesk@wcl.american.edu.

Warren Desk

Monday – Friday	8.00a.m. – 8.00p.m.
Saturday	9.00a.m. – 5.00p.m.
Sunday	Closed (building access via ID Card)

Capital/Atrium

Entrance to the building through these doors will be accessible only by AU ID cards.

Yuma Desk

Monday – Friday	8.00a.m. – 4.00p.m.
Saturday and Sunday	Closed (building access via ID Card)

Guests who are non-AU ID card holders must make prior arrangements to enter the building.

AU Public Safety Emergency Operations

If you need assistance or have a question concerning safety or security on the WCL campus, contact the Department of Public Safety (DPS).

If you get locked out of your office, contact DPS at the non-emergency number and have your AU ID handy. DPS may be contacted 24 hours a day. The emergency police line is 202-885-3636. The non-emergency police line is 202-885-2527. Further information is available [here](#).

Room Reservations

All student room reservation requests must be [submitted online](#). Please note that email, phone, and walk-in requests for space will not be accepted. All room reservations require a 48-hour notice for confirmation. No room should be considered reserved until an email confirmation has been sent and appears on the WCL events calendar. Rooms are reserved in the order that reservations are received.

Study rooms for quiet private or group study in the Commons or Pence Law Library can be reserved through [LibCal](#). Students who use the study room without reservation may be asked to vacate the room for students or groups with reservations. At this time, reservations are limited to 2-hour blocks per student, per day to ensure study rooms are accessible for all and individual students may only hold a maximum of 7 reservations

on their account at one time. Study rooms are not soundproof, therefore students should ensure they are considerate of others when using the rooms.

Appendices

Appendix A: SJD Program Faculty and Staff



Elizabeth Cavanagh
Director, SJD Program
(202) 274-4305
cavanagh@wcl.american.edu
Office: Y346F

Elizabeth A. Cavanagh is the Director of the Doctor of Juridical Science (SJD) Program at American University Washington College of Law. In that role, she teaches the Doctoral Colloquium that SJD candidates attend during their first year in residency and supervises all candidates throughout their time in the Program.

Prior to joining the SJD Program in 2017, Professor Cavanagh taught Legal Rhetoric at WCL, as well as a law school course on appellate advocacy. She spent several years as an appellate and trial court litigator with Jenner & Block in Washington, DC. Professor Cavanagh served as a law clerk to Judge Louis F. Oberdorfer of the U.S. District Court for the District of Columbia and to Justice John Paul Stevens of the U.S. Supreme Court. She attended Dartmouth College and Yale Law School, where she was executive editor of the Yale Law Journal.

Prof. Cavanagh is the chair of Making Every Vote Count, a non-profit, non-partisan organization dedicated to reforming the U.S. presidential election system. She also serves on the boards of the Historical Society of the DC Circuit and the Legal Resource Center on Violence Against Women. Prof. Cavanagh is a member of the bar in the District of Columbia and Maryland and is admitted to practice in the U.S. Supreme Court.



Dinesh Napal
Dean's Fellow, SJD Program
dinesh.napal@student.american.edu

Dinesh received his LLM in Law, Development and Globalization from SOAS University of London in 2018, and moved to Washington, DC in 2021 to begin undertaking the SJD Program at WCL. His doctoral research is focused on the implications of remote and autonomous weapons for the protection of civilians under the law of international armed conflict, and the importance of theory established by Hannah Arendt, Michel Foucault and critical philosophers for understanding the development of this area of law. Since May 2022, Dinesh has supported the WCL Tech, Law and Security (TLS) Program as a Research Assistant, working closely with Prem Trivedi and Paul Rosenzweig on the Addressing Harmful Content Online project and other TLS workstreams. Most recently, he has begun working alongside Professor Cavanagh supporting the SJD Program as a Dean's Fellow, acting as a point of contact for all SJD contacts requiring support and advice with issues relating to administrative aspects of the Program.

Appendix B: Quick Contact List

Questions about...	Who to Contact	Contact Information
Academic Programs and Advising	Elizabeth Cavanagh	cavanagh@wcl.american.edu
Billing Questions	AU Central	aucentral@american.edu
Career Counseling and Professional Development	Shanza Qureshi	squreshi@wcl.american.edu
Commencement	Elizabeth Cavanagh	cavanagh@wcl.american.edu
Disability Support	AU ASAC	asac@american.edu
Diversity Services	Lisa Taylor	taylorl@wcl.american.edu
Dissertation Formatting and Citation	Khelani Clay	kclay@wcl.american.edu
Extension of I-20	Elizabeth Cavanagh	cavanagh@wcl.american.edu
Financial Aid	Office of Financial Aid	finaid@wcl.american.edu
Health Insurance and Immunization	Edythe-Anne Cook	edythe@american.edu
OPT Applications	Elizabeth Cavanagh	cavanagh@wcl.american.edu
Parking at WCL	AU Parking	parking@american.edu
Technology Assistance	Office of Technology	helpdesk@wcl.american.edu
Videotaping of Classes	Student Affairs Media Control Center Your Professor	studentaffairs@wcl.american.edu mcc@wcl.american.edu Your Professor's email
Visa Questions	ISSS	isss@american.edu

Appendix C: Emergency Numbers and Procedures

Incident	Primary Number	Sequential Number	Follow-up Number	Comments
Accident or illness	911	AU DPS #3636 (202-885-3636)	Facilities #4357	AU DPS needs to be called to help direct first responders.
Fire in your immediate area	Pull nearest fire alarm pull station	AU DPS #3636 (202-885-3636)	Facilities #4357	<p>Pull nearest fire alarm. Pull stations to sound alarm.</p> <p>Evacuate – move away from the front of the building. If possible, call AU DPS on fire details.</p>
Disturbing or suspicious behaviour	AU DPS #3636 (202-885-3636)	AU DPS #3636 (202-885-3636)	Facilities #4357	Provide specific details of location, number of people. If required, AU DPS will provide backup.
Violent or threatening behaviour (with visible weapon threat)	AU DPS #3636 (202-885-3636) (911)	AU DPS #3636 (202-885-3636)	Facilities #4357	AU DPS will carry out combined actions with MPD and other responding outside agencies.
Active shooter response	911	AU DPS #3636 (202-885-3636)	Facilities #4357	Information can also be dispersed via the 25 internal radios issued to: Security, Facilities, Housekeeping, Student Services, Library, OCPD, Finance, Dean’s Office, Clinic, FASS, Admissions.
Bomb threat	AU DPS #3636 (202-885-3636)	AU DPS #3636 (202-885-3636)	Facilities #4357	While receiving the bomb threat call for as many details as possible on location, detonation time, type, who is doing it, why they are doing it.

Appendix D: Honor Code for the Washington College of Law

Article I: Introduction

- (A) American University Washington College of Law (“WCL” or “law school”) students shall adhere to the highest applicable ethical standards of integrity, professional conduct, personal conduct, and academic honesty and shall conform to the requirements of all regulations governing academic, clinical, and co-curricular programs with which they become associated. This obligation extends to students’ academic and nonacademic interactions with students, faculty, administrators, and staff, and students of other law schools, colleges, and universities, and clients, coworkers, and institutions including but not limited to courts, agencies, organizations (whether governmental or private), nonprofit entities, corporations, and law firms with which the student may come into contact.
- (B) It is the duty and obligation of every member of the WCL community—faculty, administrators, staff, and students—to assist students in abiding by this Honor Code by counseling compliance and, unless the relationship is subject to the attorney-client or other recognized privilege, by (1) reporting facts which establish reasonable grounds to believe a violation has occurred and (2) assisting those responsible for administering the Honor Code in determining whether a violation has occurred.
- (C) Publication of this Honor Code on the WCL Web site constitutes notice to all WCL students of its provisions, and they are presumed to be familiar with its terms.

Article II: Jurisdiction

- (A) All WCL students are covered by this Honor Code, and individuals shall be subject to its provisions for any events or incidents that occurred while he or she was a student. For purposes of this Honor Code, WCL students include individuals auditing courses or receiving credit from WCL.
- (B) In the absence of any conflict with specific items covered by this Honor Code, the American University Codes, Policies and Procedures (or any successor) shall be applicable to all individuals subject to the WCL Honor Code. Furthermore, the standards set forth in the American Bar Association Model Rules of Professional Conduct shall be deemed incorporated in this Honor Code. Further, a student should be aware that during his or her participation in any WCL-sponsored or -affiliated program (including but not limited to a clinic, externship, field component, law journal, or co-curricular activity) the conduct standards, rules, or regulations of the relevant court, nonprofit entity, government agency, law journal, or organization will also be applicable.
- (C) The Student Conduct Code is the American University’s policy for nonacademic conduct offenses and applies to all students, student groups, and student organizations, including WCL students. However, with the exception of conduct described in Article II (D), alleged prohibited conduct by WCL students will be processed under the procedures set forth in this Honor Code.

- (D) Allegations involving conduct covered by the University policy on Discrimination and Sexual Harassment shall be addressed by representatives (or designees) of the Main Campus under the procedures (including appeals, if any) set forth in the Student Conduct Code of the American University and not this Honor Code.

Article III: Honor Code Prosecution Team

- (A) There is hereby established an Honor Code Prosecution Team (“Prosecution Team”) which shall consist of a member of the full-time faculty or bar-admitted staff who is not then a member of the Standards, Library, & Technology Committee (or its successor) and who is selected by the Dean of the Law School or by another person designated by the dean of the law school and a student selected by the president of the Student Bar Association (“SBA”) or by the president’s designee.
- (1) The Faculty Prosecutor shall be appointed for a term of one academic year; the appointment shall be the equivalent of a major faculty committee assignment. If the Dean of the Law School determines that an appropriate full-time faculty or bar-admitted staff member(s) is not available to serve for a term of one academic year or that additional assistance is needed, the Dean of the Law School may designate one or more faculty or bar-admitted staff member(s) or other person(s) to prosecute violations of the Honor Code.
- (2) The Student Prosecutor shall be selected for a term of one year. If the student cannot fulfill his or her functions as prosecutor for either the entire year or for a particular investigation or matter, the SBA president or his or her designee shall appoint another student as necessary. In the event that the SBA president or Vice-President has a real or apparent conflict of interest in the matter under investigation, the responsibility for nominating a substitute Student Honor Code Prosecutor shall fall to the SBA president pro-tempore. The President pro-tempore shall nominate three students who are in their last year of law school, one of whom will be selected by the Associate Dean for Student Affairs to act as the substitute Student Honor Code Prosecutor.
- (B) The prosecution of alleged Honor Code violations shall be performed by the Honor Code Prosecution Team.

Article IV: Academic Violations

- (A) It shall be a violation of the WCL Honor Code for a student to fail to comply with the rules, procedures, or requirements adopted by any law school program, member of the faculty, or instructor, or program or activity identified in Article II(B).
- (B) Without derogation from anything contained in other parts of this Honor Code, the following specific rules relating to academic integrity shall apply to:

- (1) Work products submitted in connection with a law school course or program requirement. A student shall not plagiarize by, including, but not limited to:
 - (a) incorporating into works he or she offers in compliance with such program any passages taken either word for word or in substance from any work of another, unless the student credits the original author's work by quotation marks and footnotes or other appropriate written explanation;
 - (b) offering in compliance with such program any work prepared by another;
 - (c) offering in compliance with such program the student's own work substantially included in work submitted in compliance with the requirements of another program at this or any other law school, college, or university, unless prior to such offer the student secures the permission of the professor in charge of the program; or
 - (d) collaborating with another person on work offered in compliance with such program unless the student secures the permission of the professor in charge prior to such collaboration. A student shall not be deemed to have collaborated with another if, with the instructor's prior permission, he or she merely discusses with others a matter relevant to the work in question or, also with the instructor's prior permission, obtains assistance in style, grammar, or proofreading.
- (2) Examinations.
 - (a) All students must obtain an anonymous grading number in a manner established by the Law School Registrar. By accepting the anonymous grading number, the student agrees to neither receive assistance nor give assistance to any other person during the examination. The anonymous grading number shall appear as an identifier on or in the examination book returned to the professor in charge or his or her designee at the end of the examination.
 - (b) All examinations must be taken on the day and at the time and in the place indicated.
 - (c) A student shall not violate the law school security maintained for the preparation and storage of examinations. A student who, with or without violating such security, is advised as to the contents of all or any part of any exam shall immediately inform the Associate Dean for Student Affairs (or his or her designee) of these events.
 - (d) A student must comply with all instructions given by the professor or staff in charge of the examination and shall not take the examination to any locations other than those designated for the class generally or otherwise specifically authorized.
 - (e) A student may bring into the examination room only those items previously authorized by the professor or staff in charge.

- (f) A student, during an examination, shall not use materials not authorized by the instructions given for the examination, nor shall he or she discuss the examination with any person other than the professor in charge or his or her designee.
- (g) A student shall not take an examination for another student, nor shall he or she permit another person to take an examination for him or her.
- (h) A student shall not remove from the examination room a copy of the examination or any portion thereof, or any preliminary notes made by him or her in answering the examination, unless such removal is specifically authorized by the professor in charge or his or her designee.
- (i) A student shall not act in any manner that would unreasonably interfere with other examinees during an examination.
- (j) Where a student's conduct unreasonably interferes with other examinees, the proctor shall report the matter immediately to the professor in charge or his or her designee, who may order the student to leave and may prevent the student from continuing the examination. The matter shall promptly be reported to the Associate Dean for Student Affairs, who will take action as provided in Article VI(A).
- (k) It is the obligation of each student to check that the student received a full examination paper and to assure that the answers are returned to the professor in charge, or his or her designee, at the time indicated at the end of the examination.
- (l) Where a student is suspected of cheating during the examination, the matter shall be reported to the Associate Dean for Student Affairs as soon as is reasonably possible.
- (m) A student who has taken a particular examination and a student who will take that examination at a subsequent time or date shall not discuss it with each other, and a student who has deferred the taking of an examination shall not attempt to ascertain its contents.

Article V: Other Violations

(A) Misrepresentations Generally.

A student may not knowingly misrepresent facts about himself, herself, or any other person in connection with obtaining an academic or financial benefit for himself, herself, or another person, or with the intention to injure another person academically or financially. A student shall not provide untrue information for the purpose of admission to, registration at, or withdrawal from this or any other law school, college, or university, or the programs thereof, or for any use in connection with seeking employment. The obligations set forth in this paragraph are continuing, and the requirement of accuracy and truthfulness extends beyond the filing of any application, résumé, or similar

document or assertion. Notice of material changes in circumstances must be provided to persons relying on such documents or assertions.

(B) Misrepresentations of Qualifications.

It shall be a violation of this Honor Code for a student requesting certification by the law school as required by a bar association, bar examiner, court, or agency to misrepresent to the law school that he or she has complied with all prerequisites as to which he or she requests certification, including, where applicable, attendance for the number of classes, completion of required credit hours, and attendance for the required number of hours of instruction.

(C) Library.

To facilitate the broadest possible student access to library resources, students shall not:

- (1) tear, mark, tamper with, or otherwise deface, destroy, impair, or disable library materials or equipment;
- (2) prevent in any way the use of library materials or equipment by others;
- (3) remove library materials or equipment from the library without complying with established library procedures;
- (4) conduct himself or herself in a manner which would unreasonably interfere with the use of the library by others; or
- (5) violate any library rule, duly posted or otherwise communicated to WCL students.

(D) Co-curricular or Other WCL-Sponsored or - Affiliated Activities.

- (1) It shall be a violation of this Honor Code for students to violate the standards, procedures, rules, and regulations of WCL co-curricular or other WCL-sponsored or -affiliated activities, including but not limited to: law journals, moot court, mock trial, or any other organizations sponsored by or in any way affiliated with WCL. The standards, rules, procedures, and regulations of co-curricular organizations may also apply to the student but will not prevent this Honor Code from exercising jurisdiction over the student.
- (2) It shall be a violation of this Honor Code for students to violate any WCL or American University policy regarding conduct or use of equipment and facilities.

(E) Obligation to Report and Assist.

- (1) It shall be a violation of this Honor Code for any person subject to this Honor Code to fail to report facts which establish reasonable grounds to believe a violation has occurred, or to fail to assist the Prosecution Team in determining whether an Honor Code violation has occurred.
- (2) It shall be a violation of this Honor Code for any person subject to this Honor Code to interfere with or seek to obstruct the filing of a complaint, or the investigation or prosecution of an allegation of an Honor Code violation.

(F) Requirements of Enrollment in Law School Programs and Activities.

Every registration for participation in a law school program, including academic and co-curricular activities, shall be deemed a representation of compliance with the requirements upon which enrollment is predicated, unless the WCL registrar is notified by the faculty member that enrollment is authorized. The registrar will forward the petition to the professor in charge of the program. The Dean of the Law School or his designee will make a determination on the petition after consultation with the professor in charge of the program. Should any material change occur involving the qualification of a student to participate in a program, the student shall inform the professor in charge promptly and, where necessary, withdraw or submit a petition for a waiver of a requirement for participation in the program to the Registrar for determination. All prerequisites that are physically or electronically posted or made available by the Registrar during the period of enrollment, or distributed through electronic media to the WCL community shall be deemed to have been duly communicated to a student.

(G) Course Requirements

A student, by participating in a final examination, submitting a final paper, or otherwise completing course requirements shall be deemed to represent that he or she has attended and participated in the course or program as required by the faculty member or academic program. A professor (or his or her designee) in charge of the course or program at issue shall offer evidence to the Prosecution Team or Honor Code Committee, as appropriate, that a student has not fulfilled a particular announced requirement.

Article VI: Procedures

- (A) Any person having knowledge of facts which establish reasonable grounds to believe a violation of any provision of this Code has occurred must advise the Associate Dean for Student Affairs. The Associate Dean for Student Affairs may require a written statement of the reasonable grounds to support a belief that a violation has occurred. The Associate Dean for Student Affairs shall, in appropriate cases, attempt to resolve the matter and may seek the assistance of other university resources. If the Associate Dean for Student Affairs is unable to resolve such a matter or believes that the matter is inappropriate for him or her to resolve, Associate Dean for Student Affairs shall forward the matter to the Prosecution Team.
- (B) Within a reasonable amount of time after receiving a referral from the Associate Dean for Student Affairs, the Prosecution Team shall determine if there are reasonable grounds to believe that an Honor Code violation has occurred.
- (C) The student may retain counsel at his or her own expense or bring a representative of his or her own choosing and expense to meetings with the Prosecution Team.

- (D) WCL shall not retain a record of the referral where the Prosecution Team finds insufficient proof of a violation and the student respondent need not report the investigation or referral to any bar examining authority or other authorities or agencies inquiring about the character and fitness of a student or graduate to practice law, for employment, or for a position of public trust.
- (E) If the Prosecution Team believes that there is evidence to support a finding of an Honor Code violation, it shall attempt to resolve the matter, including meeting with the student against whom a claimed violation of the Honor Code has been made. Any statement made by such student specifically relating to the terms of a potential voluntary resolution in pre-charge settlement discussions with the Prosecution Team shall not be admissible as evidence in any subsequent Honor Code proceeding against the student.
- (F) In the event that the Prosecution Team cannot agree to resolve a matter at this stage, the view of the Faculty Prosecutor shall prevail. Such a resolution may include any sanction set forth in Article VIII. The respondent and Prosecution Team must agree on the proposed sanction. If the Prosecution Team settles with the accused student, the settlement is final and not subject to appeal.
- (G) If the matter is not otherwise resolved, the Prosecution Team shall prepare a Statement of Charges setting forth the claimed violation(s) of the Honor Code and shall serve the respondent with the Statement of Charges and provide a copy to the Dean of the Law School.
- (H) Within a reasonable time of receipt of the Statement of Charges, the Dean of the Law School shall constitute an Honor Code Committee of at least five members, consisting of at least three full-time tenured faculty members and at least two student members. The chair of the Honor Code Committee shall be the faculty member on the Committee with the longest tenure at WCL. The ratio of faculty to students on the Honor Code Committee shall be 3:2. The student members shall be appointed by the president of the Student Bar Association or his or her designee as set forth in Article III(A)(2).
- (I) Decisions of the Honor Code Committee shall be made by majority vote.
- (J) Upon appointment, the Honor Code Committee shall have exclusive jurisdiction to adjudicate the case and manage its conduct subject to the provisions of Article II.
- (K) After the Committee has been constituted, the chair of the Honor Code Committee shall consult with the other members to establish a date and time for an initial appearance and shall notify the respondent and the Prosecution Team of such date and time, giving the respondent adequate time to prepare for a hearing.
- (L) The respondent shall be entitled to representation by counsel in a proceeding before the Honor Code Committee and any appeal. If the respondent desires to be represented by counsel but is unable to afford counsel, respondent may apply to the Dean of the Law School to appoint counsel. Eligibility for consideration of appointed counsel shall be based on respondent's financial need taking into

account all resources available to the student. The Dean of the Law School will decide whether respondent qualifies for appointed counsel; this decision is not appealable. The Dean of the Law School may appoint counsel any time after the respondent is served with a Statement of Charges. The Dean of the Law School will establish a pro bono roster of attorneys from which he or she will appoint counsel. The decision of whom to appoint is not appealable.

- (M) If a respondent fails to appear at a properly noticed hearing or proceeding the Honor Code Committee may enter a default decision against the absent party.
- (N) After the Prosecution Team has filed a Statement of Charges, the Prosecution Team may resolve a matter by a negotiated settlement with the respondent, including findings of fact and recommended sanction(s), subject to approval of the Dean of the Law School. In the event the Prosecution Team is unable to agree whether or not to recommend a negotiated settlement, the view of the faculty prosecutor shall prevail. If the Dean of the Law School approves the negotiated settlement, the respondent waives the right to appeal. If the Dean of the Law School rejects the negotiated settlement, the Dean of the Law School shall inform the Prosecution Team and the respondent of this fact. In such event, neither the findings of fact, recommended sanction(s), nor any statements made during negotiated settlement discussions shall be admissible as evidence in any subsequent Honor Code proceeding against the respondent.
- (O) In all Honor Code investigations or proceedings involving conduct based upon sex, race, color, national origin, disability, religion, or sexual orientation in which both the complainant and the respondent are subject to this Honor Code, the Faculty Honor Code Prosecutor or the Associate Dean for Student Affairs may direct that both the respondent and the complainant refrain from contact with the other, either directly or indirectly, during the pendency of the Honor Code investigation and any proceeding initiated based upon the allegations.

Article VII: Hearing Procedures

- (A) Generally.
 - (1) All hearings shall be in closed session unless the respondent(s) and complainant(s) agree that the hearing be open to the public.
 - (2) All hearings shall be recorded by video or audio media.
 - (3) The deliberations of the Honor Code Committee shall be closed to the public and not recorded by video or audio media.
- (B) Evidentiary Rules: Apart from common law and constitutional privileges, rules of evidence in courts of law shall not, as such, be applied. The reliance upon evidence shall be determined by fundamental principles of fair play.

- (C) Standards of Proof: The standard of proof to be applied by the Prosecution Team, the Honor Code Committee, and the Appellate Panel in adjudicating violations of this Honor Code is clear and convincing evidence.
- (D) Pre-hearing discovery is not available except as the Honor Code Committee may permit in its discretion; interlocutory appeals are not permitted.
- (E) Order of Proceedings.
- (1) The Prosecution Team shall present the case by calling witnesses who shall be subject to cross-examination by the respondent or by his or her counsel. Members of the Honor Code Committee may ask additional questions based on the evidence presented in direct or cross-examination.
 - (2) The respondent (or the respondent's representative) shall present the respondent's case. The respondent need not testify in person. The respondent, when he or she elects to testify, and other witnesses shall be subject to cross-examination by one member of the Prosecution Team. Members of the Honor Code Committee may ask additional questions based on the evidence adduced in direct or cross-examination.
 - (3) The Honor Code Committee may permit rebuttal evidence to be presented by the Prosecution Team, may permit the respondent (or the respondent's representative) a rejoinder to such rebuttal, and shall permit final statements by the Prosecution Team and by the respondent (or the respondent's representative).
 - (4) Within a reasonable time after the hearing on the merits ends, the Honor Code Committee shall advise the respondent (and respondent's representative), the Prosecution Team, and the Dean of the Law School of its findings on the merits. The findings shall be in writing and shall indicate the relevant facts upon which the decision is based and shall specify the provision of the Honor Code at issue.
 - (5) Unless the respondent has availed himself or herself of the opportunity to do so at the hearing on the merits, the respondent shall have the right as to any sanction to present evidence in mitigation, adduce character witnesses, and make a separate argument on sanctions. The Prosecution Team also may present evidence, call witnesses, and make an oral argument on sanctions.
 - (6) Within a reasonable time after the hearing on sanctions ends, the Honor Code Committee shall advise the respondent (and the respondent's representative), the Prosecution Team, and the Dean of the Law School of any sanction it has determined to impose.
 - (7) There shall be no appeals from decisions of the Honor Code Committee made during the hearing, except those which are final and adverse to the moving party and terminate the proceedings.

Article VIII: Sanctions

- (A) The Honor Code Committee may impose, among others, the sanctions listed below, either singularly or in combination, as it deems appropriate:
- (1) Admonition: An oral censure that he or she is violating or has violated the Honor Code.
 - (2) Warning: Notice, in writing, that continuation or repetition of conduct found wrongful, within a period of time stated in the warning, may be the cause for the imposition of a more severe sanction.
 - (3) Reprimand: A written censure for violation of the Honor Code.
 - (4) Restitution: An order to reimburse WCL, American University, or other victims for physical damage to or misappropriation of property.
 - (5) Probation: A student may be placed on probation for a specified period of time. If a student violates the Code during probation, then upon a finding of guilt for the subsequent violation the Honor Code Committee will consider the student's probationary status when determining sanctions. Probation may also require specific action or omissions on the part of the respondent.
 - (6) Suspension:
 - (a) Suspension of eligibility for participation in WCL-sponsored or -affiliated co-curricular activities;
 - (b) Suspension of eligibility for law school-recognized offices;
 - (c) Suspension of eligibility for specific law school programs; or
 - (d) Suspension from the law school for an indicated period of time.
 - (7) Reduction or elimination of grade or credits: the Honor Code Committee may reduce or eliminate a grade or credits in a program in which the respondent was found to have committed academic dishonesty, and/or revoke an award or recognition for academic performance or other activity.
 - (8) Expulsion: Termination of student status.
- (B) The Honor Code Prosecution Team may, as part of a voluntary resolution, authorize removal of a written notation from the student's file after a set period of time.

Article IX: Appeals

- (A) A petition of appeal from the final determination (a decision on the merits and, if applicable, the imposition of a sanction) of the Honor Code Committee shall be addressed to the Dean of the Law School, and shall be filed within two weeks of the respondent's receipt of the Honor Code Committee's final determination.

- (B) A petition of appeal must be in writing and shall set forth any errors of law to be reviewed on appeal.
- (C) The appeal will review issues of law, not facts.
- (D) Within a reasonable time of receipt of the petition of appeal, the Dean of the Law School shall convene an ad hoc Appellate Panel.
- (E) The Appellate Panel shall consist of two Associate Deans chosen by the Dean of the Law School and a third-year (full-time) or fourth-year (evening division) student chosen by the SBA. The chair of the Appellate Panel shall be the Associate Dean on the Appellate Panel with the longest tenure as an Associate Dean at WCL.
- (F) All decisions of the Appellate Panel shall be made by majority vote.
- (G) There shall be no oral presentation unless requested by the Appellate Panel.
- (H) The Prosecution Team and/or the respondent, as the case may be, shall file a response within two weeks of receipt of the petition. Any further filings are by the permission of the chair of the Appellate Panel.
- (I) The Appellate Panel may reduce, but not increase, a sanction. A report to a bar examining authority or other organization pursuant to Article XII shall not be deemed an increase in a sanction.
- (J) The Appellate Panel may affirm, modify, vacate, or remand the matter to the Honor Code Committee to determine a matter in accordance with its instructions.
- (K) The Appellate Panel shall advise the respondent (or respondent's representative), the Prosecution Team, and the Dean of the Law School of its disposition of the appeal within two weeks of the last filing contemplated by Article IX(H).

Article X: Conflicts of Interest

The existence of a real or apparent conflict of interest or other grounds for recusal of the Dean of the Law School, a member of the Prosecution Team, Honor Code Committee, or Appellate Panel may be raised by a member of the Prosecution Team, a member of the Honor Code Committee, a member of the Appellate Panel, the complaining witness, the respondent, or any other person with an interest in the matter. For good cause shown, the Dean of the Law School, a member of the Prosecution Team, Honor Code Committee, or Appellate Panel shall recuse himself or herself. If such a member does not elect recusal, the Dean of the Law School or his or her designee shall determine if, for good cause shown, the individual should be recused and another member appointed for the pending matter. In the event the Dean of the Law School is recused from participating in the matter, then the individuals in the order listed, occupying the following positions, shall fulfill the role of the Dean of the Law School:

1. Associate Dean for Academic Affairs who has served longest in that position;
2. Other Associate Dean for Academic Affairs in order of seniority in that position; or
3. Other Associate Dean in order of seniority in that position.

Article XI: Form, Announcement, and Publication of Decisions

- (A) Decisions of the Honor Code Committee and the Appellate Panel shall be in writing and shall comply with the content specificity requirements of Article VII(E)(4). Voluntary resolutions between the respondent and the Prosecution Team must be in writing. Decisions or recommendations of the Associate Dean for Student Affairs made under this Honor Code are not required to be in writing.
- (B) A voluntary resolution with the Prosecution Team, a final determination of a violation of the Honor Code by the Honor Code Committee, and/or a decision of the Appellate Panel shall be distributed through electronic or printed media, or by any other appropriate means of communication to the law school community. Such announcement shall appear at least twice and shall contain the substance of the charges, decision, and sanction(s) without disclosing the name(s) of the respondent(s). This publication shall not take place until proceedings by the Honor Code Committee and/or the Appellate Panel, including any remand, have been completed. Decisions of the Honor Code Committee shall be publicly announced to the law school community not earlier than two weeks after the respondent receives notice of the final decision. If the respondent files a timely petition of appeal, then notice shall not be publicly announced to the law school community until the Appellate Panel has completed its work. If the Appellate Panel remands a decision of the Honor Code Committee, announcement to the law school community shall not occur until after completion of subsequent proceedings.
- (C) Announcement and/or publication of decisions shall not be deemed to be an increase in the sanction.
- (D) A respondent found not to have violated the Honor Code may request that the finding to this effect be published, as above.
- (E) The Office of the Dean of the Law School shall maintain records of all proceedings conducted under the Honor Code. The Prosecution Team shall have access to these records as a guide for prosecutorial decision making.
- (F) Subsequent Honor Code Committees and Appellate Panels shall have discretion to consider past written decisions in their deliberations. Written decisions of the Honor Code Committees and Appellate Panels are not mandatory authority and do not carry precedential weight.
- (G) A summary of all charges, proceedings, and outcomes shall remain on file with the Office of the Dean of the Law School for public review subject to the law school's record retention policy. Names and other identifying information of students/community members shall be removed as necessary to protect confidentiality.

Article XII: Law School Reports to Bar Examining and Other Authorities or Agencies, Student Files

Subject to the Family Educational Rights and Privacy Act (F.E.R.P.A.), 20 U.S.C. § 1232(g), 34 C.F.R. pt. 99, and any other applicable statute or regulation, the law school shall report to any bar examining authority or other authorities or agencies inquiring of the law school about the character and fitness of a student or graduate to practice law, for employment, or for a position of public trust information in the student's file that an agreement or finding has been entered resolving an allegation of an Honor Code violation or that a Statement of Charges asserting an Honor Code violation has been filed with the Law School Dean. The information in the student's file shall be sufficiently detailed to permit a reader to know the essential facts, the outcome of the proceeding, the sanctions assessed, if any, and shall include all exculpatory or explanatory material. A decision not to enter anything in the student's record or to remove a notation from the student's record of any Honor Code proceedings, findings, and sanctions recommended and imposed, does not relieve the student of, or prevent the law school from, discharging their respective ethical obligations to advise any bar examining authority or other inquiry regarding the character and fitness of the student to practice law.

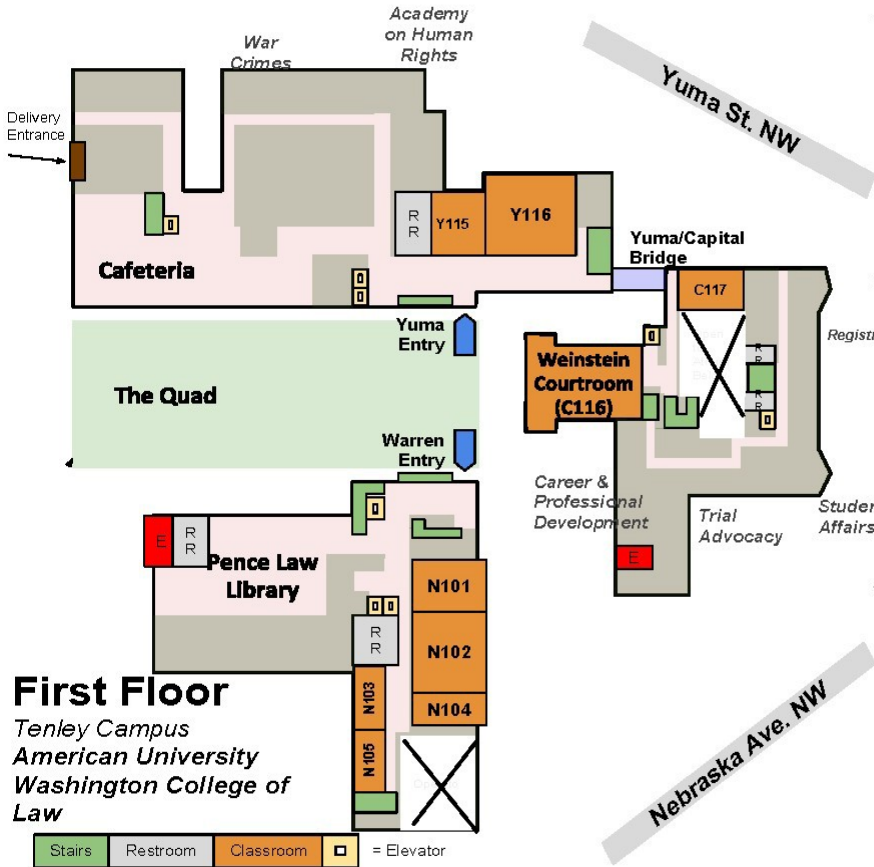
Appendix E: How to Waive AU Student Insurance

All full-time students, residential students, and students on F1 and J1 visas, are required to hold valid health insurance that provides comprehensive coverage in Washington, D.C. – these students are automatically enrolled in the AU Student Health Insurance plan, provided by Aetna. For more details on the 2024-2025 year's insurance package, please see here: <https://www.american.edu/aucentral/about-student-health-insurance-plan.cfm>.

Students are offered the option each academic year to waive their AU Student Health Insurance. For more details, please visit the AU Student Health Center. <https://www.american.edu/aucentral/health-insurance-waiver-information.cfm>

Appendix F: WCL Tenley Campus Maps



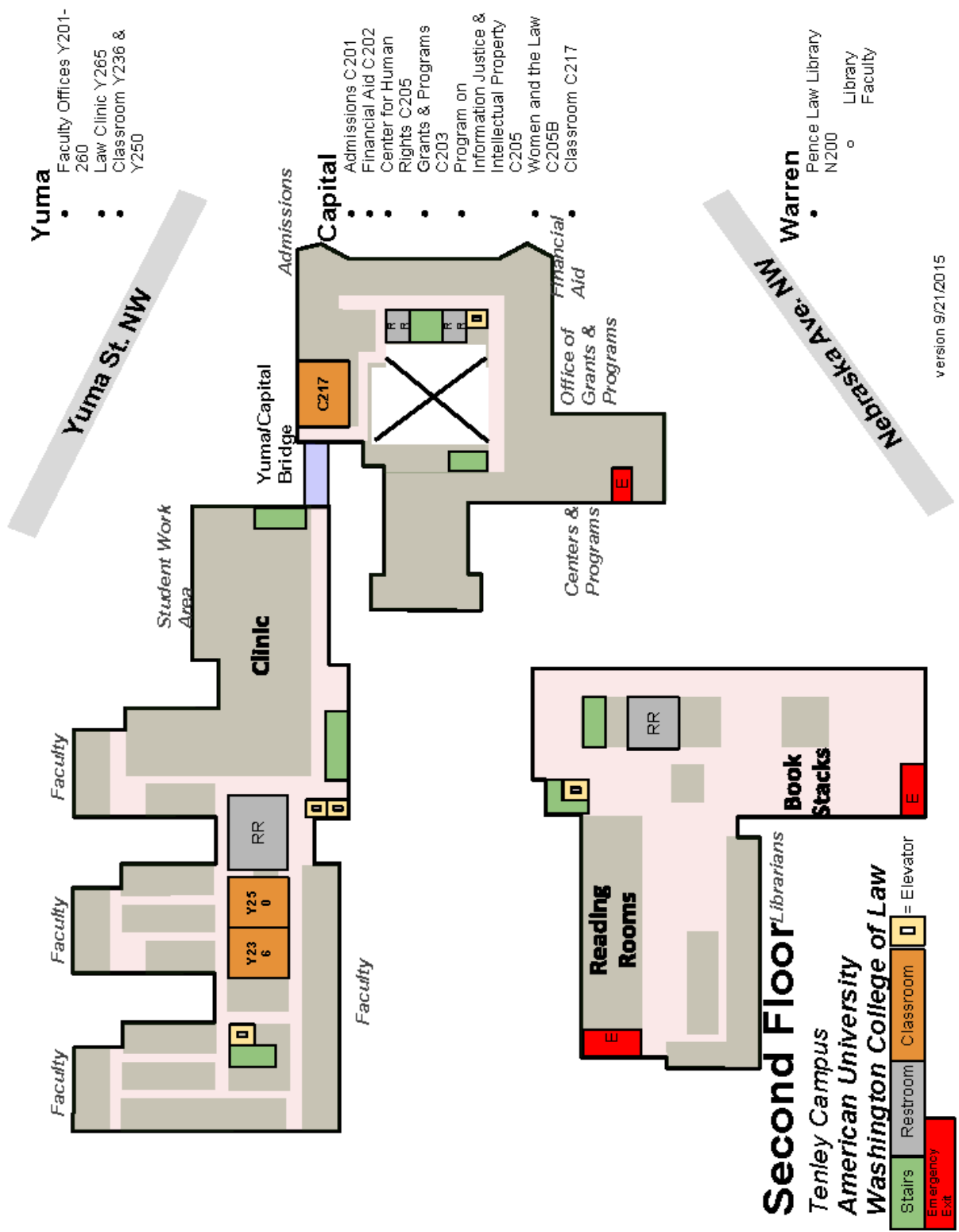


- Yuma**
- Dining Y103
 - War Crimes Research Y110
 - Academy on Human Rights & Humanitarian Law Y111
 - Classrooms Y115-Y116

- Capital**
- National Immigrant Women's Advocacy Project C100
 - Registrar C101
 - Diversity Services C107
 - Student Affairs C107
 - Trial Advocacy C111
 - Career & Professional Development C115
 - Public Interest C115
 - Weinstein Courtroom C116
 - Courtroom C117

- Warren**
- Pence Law Library N100
 - Access Services N100A
 - Collection & Bibliographic Services N100-04
 - Legal Research & Legal Technology Lab N100C
 - Online Education N100B
 - Reference & Research Services N100-03
 - Classrooms N101-105

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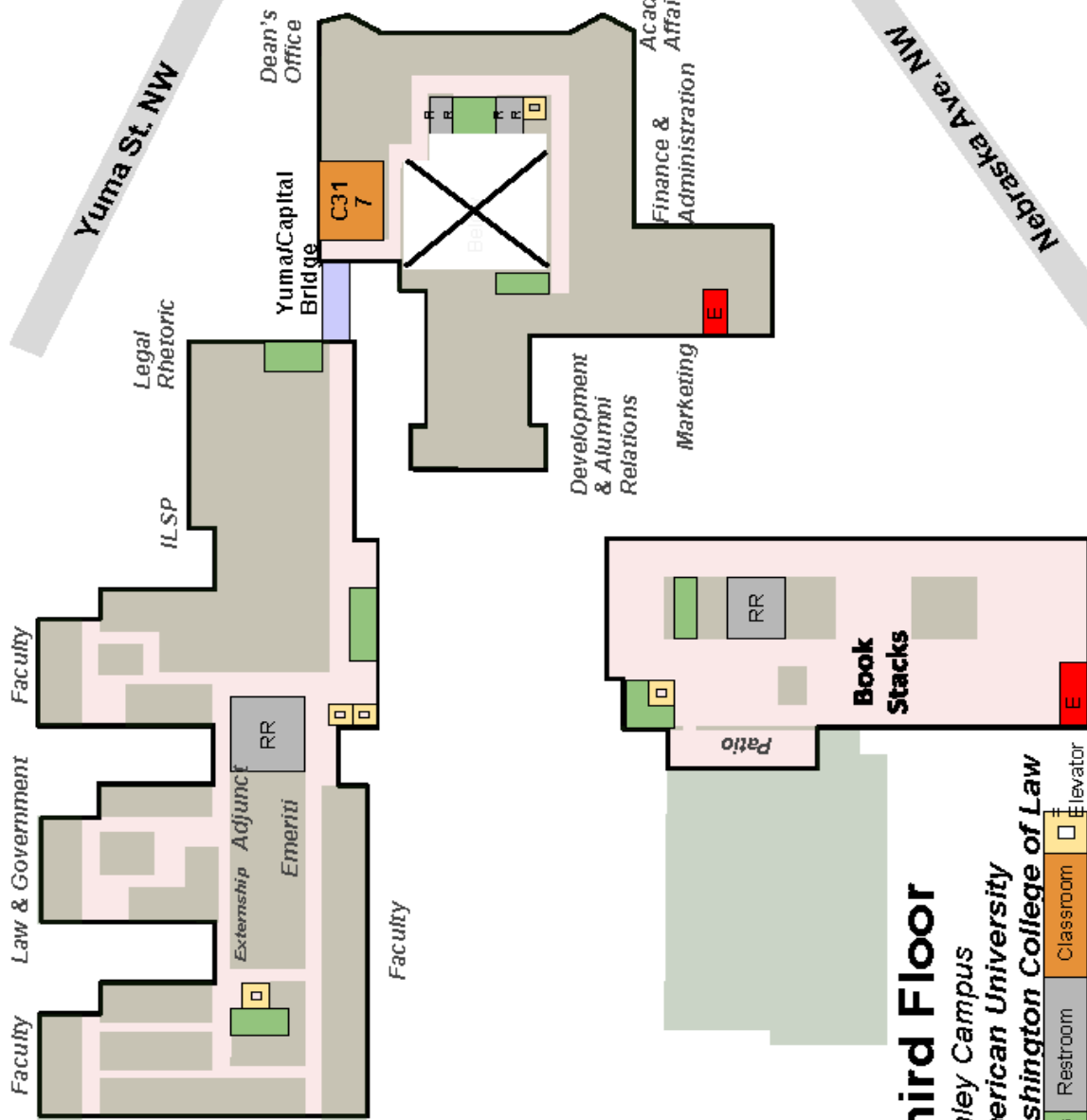


version 9/21/2015

- Yuma**
- Adjunct Suite Y343
- Faculty Offices Faculty & Administrative Support Services Y312, Y352
- International Suite Y360
- Law & Government Y346
- Legal Rhetoric

- Capital**
- Dean's Office C301
- Academic Affairs C302
- Finance & Administration C303
- Public Relations & Marketing C303A
- Development & Alumni Relations C305D
- Classroom C317

- Warren**
- Pence Law Library Outdoor Roof Terrace N300.



Third Floor
 Tenley Campus
 American University
 Washington College of Law

Stairs Restroom Classroom Elevator
 Emergency Exit

Version 9/21/2015

